

Enlil is a name, not an abbreviation, like MAS & WSA

Enlil

Comparison of Observations at ACE and *Ulysses* with ENLIL Model Results: Stream Interaction Regions during Carrington Rotations 2016 – 2018

L.K. Jian¹, C.T. Russell¹, J.G. Luhmann², P.J. MacNeice³, D. Odstrcil³, C.N. Arge⁴, P. Riley⁵,
J.A. Linker⁵, R.M. Skoug⁶, J.T. Steinberg⁶

¹Institute of Geophysics and Planetary Physics, University of California, Los Angeles, CA, 90095, USA.

²Space Sciences Laboratory, University of California, Berkeley, CA, 94720, USA.

³NASA, Goddard Space Flight Center, Code 674, Greenbelt, MD, 20771, USA.

⁴Space Vehicles Directorate, Air Force Research Laboratory, Kirtland Air Force Base, NM, 87117, USA.

⁵Predictive Science, Inc., San Diego, CA, 92121, USA.

⁶Space Science and Applications, Los Alamos National Laboratory, NM, 87545, USA.

Abstract

During the latitudinal alignment in May – July 2004, ACE and *Ulysses* encountered two fast-slow stream interaction regions (SIRs) over Carrington rotations 2016 – 2018, at 1 and 5.4 AU, respectively. More SIR-driven shocks were observed at 5.4 AU than at 1 AU, and three small SIRs at 1 AU merged to form a single and stronger interaction region at 5.4 AU. We compare the ENLIL model (version 2.6) results from CCMC with the ACE and *Ulysses* observations in detail, and demonstrate the predictive capability of the latest available models. The field polarity changes at all sector boundaries are captured by the models. The ENLIL model results are very susceptible to the differences in the input solar magnetograms (from MWO vs. NSO) and coronal models (WSA vs. MAS). Although the examined period is typical of the solar-cycle declining phase and no interplanetary CME was encountered, the timing of some simulated SIRs can differ from the observations by a couple of days at 1 AU and by up to six days at 5.4 AU. According to the 12 cases (two SIRs, two heliocentric distances and three rotations), the temperature and total pressure are often underestimated, while the density compression is often overestimated. Sometimes the models cannot well capture small-scale structures, such as shocks and small SIRs at 1 AU. The presence of non-potential active regions, the resolution limitation of solar magnetograms and models, and other factors can cause the discrepancy between models and observations. The coupled solar magnetogram – coronal model – heliospheric model chain needs further development to become an accurate research and/or forecasting tool. Higher-resolution solar and coronal observations, a mission closer to the Sun that tells us more about solar wind acceleration and the inner heliosphere, together with simulations with both greater spatial resolution and added physics, are ways to make further progress.

1. Introduction

Stream interaction regions (SIRs) are formed when fast solar wind overtakes and compresses preceding slow solar wind. In contrast to the interplanetary CMEs (ICMEs), SIRs persist throughout a solar cycle and are the predominant solar wind structure in the declining phase and around solar minimum (e.g., Jian, Russell, and Luhmann, 2010). When solar conditions do not change substantially over a few solar rotations, SIRs can last for several solar

347 shown in Figure 5. In comparison, the NSO-MAS-ENLIL model, the NSO-MAS-ENLIL
348 model has more pronounced differences between fast and slow wind in terms of various
349 parameters except the plasma thermal pressure. The slow wind region is wider from the NSO-
350 MAS-ENLIL model than from the NSO-WSA-ENLIL model. These differences could be in part
351 because the speed derived from an *ad hoc* description is imposed at 30 Rs for the MAS-ENLIL
352 model without taking into account the coronal field divergence as is done in the WSA model *
353 (Riley, Linker, and Mikic, 2001). Consistent with the differences at 0.144 AU, the 1-AU SIRs
354 from the NSO-MAS-ENLIL model have a larger speed increase and are also wider than from the
355 NSO-WSA-ENLIL model as shown in Figure 6(a). For both SIRs at 1 AU, the NSO-MAS-
356 ENLIL run estimates the right magnitudes of fast wind speed, field and P_t enhancements, but
357 underestimates the slow wind speed and overestimates compression of N_p and P_{dyn} . Associated
358 with two fast wind regions at low latitudes over longitude 180° - 300° at 0.144 AU (Figure 5) from
359 the NSO-WAS-ENLIL and NSO-MAS-ENLIL models, there is a secondary speed increase and
360 SIR on 18-20 June at 1 AU from the two models as illustrated in Figure 6(a), although the one
361 from the NSO-MAS-ENLIL model is weak. ACE did not observe the secondary speed increase
362 and associated SIR, so the models do not describe the rarefaction part after the SIR #4 correctly.
363

364 At 5.4 AU, all three runs predict a later arrival time for the two SIRs as shown in Figure 6(b),
365 likely due to the overall underestimation of solar wind speed. Among the two SIRs simulated by
366 three models, the lag of SIR #3 from the MWO-WSA-ENLIL model is the longest, about 5.5
367 days. For both SIRs, the order of SIR timing and the order of SIR strength among the three

* Speed profile is imposed at 1 Rs and mapped out
along field lines to 30 Rs. So in some sense the
divergence of the field is factored in.

93
94 **1.2. Models**

95 The Community Coordinated Modeling Center (CCMC) is a multi-agency partnership
96 situated at the Goddard Space Flight Center of NASA. Through the effort of model developers
97 and CCMC staff over years, several solar and heliospheric models have been installed and used
98 for runs-on-request at the CCMC. In order to compare with the solar wind observations at both 1
99 and 5.4 AU, we use the ENLIL model, currently the only heliospheric model running beyond 5
00 AU at CCMC. Because the heliospheric model is designed for supersonic, super-Alfvénic, and
01 low- β plasma, it needs inner boundary conditions from the coronal portion of either the Wang-
02 Sheeley-Arge (WSA) model or the Magnetohydrodynamics-Around-a-Sphere (MAS) model.
03

04
05 The ENLIL model is a time-dependent 3D MHD heliospheric model developed by Dusan
06 Odstrcil *et al.* Using a flux-corrected-transport algorithm, this model solves equations for plasma
07 mass, momentum, energy density, and magnetic field (Odstrcil *et al.*, 2002; Odstrcil, 2003). The
08 inner boundary is located at either 21.5 solar radii (R_s) for WSA coronal model as input or 30 R_s
09 for MAS coronal model as input, both beyond the critical point of the solar wind. At the inner
10 boundary, the solar rotation is added by imparting a corotational magnetic field component. The
11 outer boundary can be adjusted to include planets or spacecraft of interest, with options of 2 and
12 10 AU available at the CCMC. In order to obtain results at 5.4 AU, we had to run the model all
13 the way to 10 AU. We hope CCMC can add one more option of 5.5 or 6 AU, which can be
14 useful to provide the solar wind condition for the investigation of Jupiter.

15
16 The ENLIL model does not currently include corrections for any additional solar wind
17 physics en route to 10 AU such as shock-related heating or interstellar pickup ion effects, which
18 may become important between 5 and 10 AU. From the following comparison, we can see
19 temperature is often underestimated even at 1 AU. So additional solar wind heating needs to be
20 added. Whether it is needed for the heliospheric model or the coronal model or both requires
21 further investigation. We choose the highest-resolution grid available, which is a uniform mesh
22 of $1280 \times 45 \times 180$ (radial \times latitude \times longitude, the other option is $1280 \times 30 \times 180$) grid points for
23 the 10-AU and 360° -longitude heliosphere covering $\pm 44^\circ$ in helio-latitude, to concentrate grid
24 points in the low-latitude region near the ecliptic plane. In other words, the spatial resolution of
25 the ENLIL model for our runs is approximately 0.0078 AU (about 1.66 R_s) in radial distance, 2°
26 in helio-latitude and helio-longitude. There are output options available at CCMC to obtain
27 results calculated at Earth, *Ulysses*, and other planets or spacecraft. This is a new function added
28 in 2010, and the trajectories of these objects are automatically taken into account.
29

30 The WSA coronal model combines a magnetostatic potential-field source surface (PFSS)
31 model (Altschuler and Newkirk, 1969; Schatten, Wilcox, and Ness, 1969) and the Schatten
32 current sheet model (Schatten, 1971). From the photosphere to the hypothetical sphere (the
33 "source surface") where the solar wind takes over at 2.5 R_s , the PFSS approximation is used and
34 the magnetic field lines are constrained to be radial. From 2.5 to 21.5 R_s , the Schatten current
35 sheet model is incorporated in order to obtain a more realistic magnetic field configuration of the
36 outer corona with the field lines diverging toward the current sheet (Arge *et al.*, 2004). This
37 model uses an improved Wang and Sheeley empirical relationship (Wang and Sheeley, 1990a,
38 1990b; Arge and Pizzo, 2000; Arge *et al.*, 2002) to derive the solar wind speed at 21.5 R_s based

appropriate
to science paper?

X No. It
is needed
in Helio
model.

as well as the
↓ distance from coronal
hole boundaries
Riley et al. (2001)

139 on the relative expansion of the magnetic field lines from 1 to 21.5 Rs. Assuming
140 momentum-flux conservation and thermal-pressure balance, the WSA model also derives the
141 density and temperature for the coronal region. Although the WSA model is an empirical model,
142 it turns out to be very efficient and relatively accurate. Due to the current insufficient
143 understanding of coronal physics, the WSA model is still widely used in the community.
144

145 The MAS coronal model is a time-dependent 3D MHD model covering 1-30 Rs developed
146 by ~~the modeling group at the~~ Predictive Science, Inc. (Riley, Linker, and Mikic, 2001; Riley *et al.*,
147 2001). Based on solar synoptic maps, ~~the~~ MAS model first uses a potential-field model and a
148 Parker solar wind solution (Parker, 1958) to determine the initial plasma and magnetic field
149 parameters, and then solves the Maxwell equations as well as the continuity, momentum, and
150 energy equations to obtain a steady-state MHD solution. Because the MAS model at CCMC uses
151 a simple polytropic energy equation, the highest numerically-derived speed in the solution is too
152 slow (Lee *et al.*, 2009). Some *ad hoc* corrections for the expected velocity dependence on the
153 distance from open field line boundaries are added to describe the speed at 30 Rs (Riley, Linker,
154 and Mikic, 2001; Riley *et al.*, 2001). These corrections are consistent with the well-accepted
155 views on the different origins of slow and fast wind. Similar to the WSA model, the density and
156 temperature are obtained based on the conservation of momentum flux and the balance of
157 thermal pressure.
158

159 As space weather forecasting is a highly complex and even necessary for space-related

85 However, the long-term statistical comparisons may bury the details of how well models
86 work for individual events. For example, the timing is earlier for some events and later for others,
87 resulting in no systematic offset in statistical sense (Owens *et al.*, 2005), but users have no
88 warning of how far off the timing can be. So in this study, we focus on SIRs during three CRs
89 with no ICME encounters and quantify various aspects of the predictive capabilities of the
90 models. In addition, after nearly 4-years use of the version 2.3a, the new version 2.6 of ENLIL
91 model became available at CCMC in early 2010. It is thus timely to evaluate this new version *of the*
92 ENLIL model. Such assessments can help improve the models' ability to describe solar wind
93 conditions during times of quiet solar activity and provide the background conditions for
94 transient events such as CMEs.

95
96 The inputs to both the WSA and MAS coronal models at CCMC are the full-CR synoptic
97 maps derived from photospheric magnetograms, which are ground-based or near-Earth
98 spacecraft line-of-sight (LOS) observations. These maps are different from daily updated
99 synoptic maps which do not have specific start and end dates. Although the daily updated map
00 incorporates the most recent observations, it is much more sensitive to the quality of individual
01 magnetograms and susceptible to projection effects (Arge and Pizzo, 2000). In addition, because
02 the ENLIL model generates a stationary solar wind solution for a given synoptic map, the 1-AU
03 results have a ~ 4 -day phase lag due to the solar wind propagation time. This can cause some
04 poor correspondence between the model results and the spacecraft observations for the first few
05 days of each CR.

At CCMC, there are three different sources of solar magnetogram for the WSA model:
06
07
08
09
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65
66
67
68
69
70
71
72
73
74
75
76
77
78
79
80
81
82
83
84
85
86
87
88
89
90
91
92
93
94
95
96
97
98
99
100
101
102
103
104
105
106
107
108
109
110
111
112
113
114
115
116
117
118
119
120
121
122
123
124
125
126
127
128
129
130
131
132
133
134
135
136
137
138
139
140
141
142
143
144
145
146
147
148
149
150
151
152
153
154
155
156
157
158
159
160
161
162
163
164
165
166
167
168
169
170
171
172
173
174
175
176
177
178
179
180
181
182
183
184
185
186
187
188
189
190
191
192
193
194
195
196
197
198
199
200
201
202
203
204
205
206
207
208
209
210
211
212
213
214
215
216
217
218
219
220
221
222
223
224
225
226
227
228
229
230
231
232
233
234
235
236
237
238
239
240
241
242
243
244
245
246
247
248
249
250
251
252
253
254
255
256
257
258
259
260
261
262
263
264
265
266
267
268
269
270
271
272
273
274
275
276
277
278
279
280
281
282
283
284
285
286
287
288
289
290
291
292
293
294
295
296
297
298
299
300
301
302
303
304
305
306
307
308
309
310
311
312
313
314
315
316
317
318
319
320
321
322
323
324
325
326
327
328
329
330
331
332
333
334
335
336
337
338
339
340
341
342
343
344
345
346
347
348
349
350
351
352
353
354
355
356
357
358
359
360
361
362
363
364
365
366
367
368
369
370
371
372
373
374
375
376
377
378
379
380
381
382
383
384
385
386
387
388
389
390
391
392
393
394
395
396
397
398
399
400
401
402
403
404
405
406
407
408
409
410
411
412
413
414
415
416
417
418
419
420
421
422
423
424
425
426
427
428
429
430
431
432
433
434
435
436
437
438
439
440
441
442
443
444
445
446
447
448
449
450
451
452
453
454
455
456
457
458
459
460
461
462
463
464
465
466
467
468
469
470
471
472
473
474
475
476
477
478
479
480
481
482
483
484
485
486
487
488
489
490
491
492
493
494
495
496
497
498
499
500
501
502
503
504
505
506
507
508
509
510
511
512
513
514
515
516
517
518
519
520
521
522
523
524
525
526
527
528
529
530
531
532
533
534
535
536
537
538
539
540
541
542
543
544
545
546
547
548
549
550
551
552
553
554
555
556
557
558
559
560
561
562
563
564
565
566
567
568
569
570
571
572
573
574
575
576
577
578
579
580
581
582
583
584
585
586
587
588
589
590
591
592
593
594
595
596
597
598
599
600
601
602
603
604
605
606
607
608
609
610
611
612
613
614
615
616
617
618
619
620
621
622
623
624
625
626
627
628
629
630
631
632
633
634
635
636
637
638
639
640
641
642
643
644
645
646
647
648
649
650
651
652
653
654
655
656
657
658
659
660
661
662
663
664
665
666
667
668
669
670
671
672
673
674
675
676
677
678
679
680
681
682
683
684
685
686
687
688
689
690
691
692
693
694
695
696
697
698
699
700
701
702
703
704
705
706
707
708
709
710
711
712
713
714
715
716
717
718
719
720
721
722
723
724
725
726
727
728
729
730
731
732
733
734
735
736
737
738
739
740
741
742
743
744
745
746
747
748
749
750
751
752
753
754
755
756
757
758
759
760
761
762
763
764
765
766
767
768
769
770
771
772
773
774
775
776
777
778
779
780
781
782
783
784
785
786
787
788
789
790
791
792
793
794
795
796
797
798
799
800
801
802
803
804
805
806
807
808
809
810
811
812
813
814
815
816
817
818
819
820
821
822
823
824
825
826
827
828
829
830
831
832
833
834
835
836
837
838
839
840
841
842
843
844
845
846
847
848
849
850
851
852
853
854
855
856
857
858
859
860
861
862
863
864
865
866
867
868
869
870
871
872
873
874
875
876
877
878
879
880
881
882
883
884
885
886
887
888
889
890
891
892
893
894
895
896
897
898
899
900
901
902
903
904
905
906
907
908
909
910
911
912
913
914
915
916
917
918
919
920
921
922
923
924
925
926
927
928
929
930
931
932
933
934
935
936
937
938
939
940
941
942
943
944
945
946
947
948
949
950
951
952
953
954
955
956
957
958
959
960
961
962
963
964
965
966
967
968
969
970
971
972
973
974
975
976
977
978
979
980
981
982
983
984
985
986
987
988
989
990
991
992
993
994
995
996
997
998
999
1000

480 At 5.4 AU, *Ulysses* observations during 17-24 July with a very strong leading
481 forward shock, presumably formed by the interaction and merging of SIRs #6-8 at 1 AU. This
482 SIR has a maximum B of 3 nT and a maximum P_t of about 6 pPa, higher than the typical values
483 for SIRs at this distance, 2.2 nT and 3.5 pPa (Jian *et al.*, 2008b), respectively. Figure 9(b)
484 displays the comparison of *Ulysses* observations with the modeling results at 5.4 AU. All of the
485 models predict later occurrence of the two SIRs, consistent with their overall underestimation of
486 the solar wind speed. The NSO-MAS-ENLIL model gives the best timing match, despite of 2-
487 day delay. The time separation between the two SIRs as well as the separation between the two
488 sector boundaries from the three models are shorter than observed. In addition, none of the three
489 models captures the negative polarity in the rarefaction region during 23-28 July.

491 All three models capture the forward-reverse shock pair of SIR #5 at 5.4 AU. At the leading
492 edge of SIR #6, the simulated parameters from the MWO-WSA-ENLIL and NSO-WSA-ENLIL
493 models change too gradually to mimic the observed forward shock. The trailing edge of SIR #6
494 cannot be classified as a reverse shock because the observed temperature and field features do
495 not have the shock signatures, but the NSO-MAS-ENLIL model produces a reverse shock. This
496 suggests the physical processes in the real solar wind are more complicated than ~~what~~ can be
497 described in the models and some of the SIR-driven shocks are not well-developed at 5.4 AU
498 and can be missed by a single-point observation.

500 For SIR #5 at 5.4 AU, the three models underestimate the background solar wind
501 temperature by more than one order of magnitude, and slightly underestimate B and P_t .
502 Combining the underestimation of solar wind speed and overestimation of density, the P_{dyn}
503 estimation is about right. The temporal profiles of N_p , B , P_t , and P_{dyn} are not symmetric and have
504 a sharper increase at the leading part. The MWO-WSA-ENLIL and NSO-MAS-ENLIL models
505 capture such features. For SIR #6, the NSO-MAS-ENLIL run produces the strongest event

↳ Enlil doesn't use B for either the MAS or WSA structures
it is set to some large *Maneyda* value and the location
of the HCS is forced out. (at least the version you probably
ran at the CMC)

models both change from 1 to 5.4 AU. Using the maximum P_t to approximate the strength, the SIR #3 from the MWO-WSA-ENLIL model is the weakest among the three models at 1 AU but the strongest at 5.4 AU; the model generating strongest SIR #4 changes from the NSO-WSA-ENLIL model at 1 AU to the NSO-MAS-ENLIL model at 5.4 AU. From 1 to 5.4 AU, as the stream interaction strengthens, the ratio of the simulated SIR duration to the observed duration usually decreases, as listed in Table 1, except the NSO-WSA-ENLIL model for CR 2017.

With the radial evolution of a SIR, the pressure waves bounding it steepen into forward and reverse shocks at 5.4 AU, as marked by magenta dashed lines in Figure 6(b). Considering the limited resolution of the solar synoptic maps and coronal/heliospheric models, the leading and trailing edges of the two SIRs from the three models almost mimic a pair of forward-reverse shocks except the SIR #4 from the MWO-WSA-ENLIL model, where the parameters change gradually at the edges probably related to a small speed difference between fast and slow streams and a weak resultant stream interaction. None of the three runs captures the observed double forward shocks leading SIRs #3 and #4 at 5.4 AU.

For the two SIRs at 5.4 AU, all the three models underestimate the solar wind speed and ambient temperature, while overestimating the density compression. The NSO-WSA-ENLIL and NSO-MAS-ENLIL models give about the right magnitudes of field and P_t increases for SIR #3, while the MWO-WSA-ENLIL model overestimates these enhancements. For SIR #4, the MWO-WSA-ENLIL and NSO-WSA-ENLIL models underestimate the peak field and P_t , but estimates a right amount of P_{dyn} enhancement, while the NSO-MAS-ENLIL model overestimates all of these peak values. These models cannot capture some of the observed time variations of N_p , B , P_t , and P_{dyn} . The solar wind of SIR #4 from the NSO-WSA-ENLIL run is accelerated in two steps, and there are two N_p increase regions, in contrast to the observations and other two models. This seems to be due to the radial evolution of the two SIRs over 14-20 June at 1 AU.

From the above comparison, the differences due to the different coronal models for CR 2017 can be as significant as the differences due to different solar synoptic maps. We use the stream interface time, duration, plasma, field, and combined parameters listed in Table 1 to evaluate the models quantitatively. The italic number marks the model that best matches the observations. Considering the simulation-to-observation parameter ratios, counting the stream interface time discrepancy with a weight of 2, and also considering the above comments about the detailed time profiles, we give an objective assessment of the model's ability to reproduce the SIR, with 1 to 3 in order of decreasing capability. For example, ~~about~~ SIR #3 at 1 AU, the NSO-WSA-ENLIL model has the best timing match (a weight of 2) and the best match for other four parameters, so its success count is 6, more than the other two models, and we rate the model as 1 for this event. In short, the best model rating 1 is usually the one with the most italic numbers and with the best timing match. For the two SIRs at 1 and 5.4 AU during CR2017, the MWO-WSA-ENLIL model consistently rates number 3, indicating a bad fit for this CR. The NSO-WSA-ENLIL model reproduces SIR #3 best at both distances, while the NSO-MAS-ENLIL model fits SIR #4 best at both distances. The better results obtained using the NSO synoptic map is probably because NSO has more sensitive instruments and better corrections to the polar field than MWO for this CR.

2.3. CR 2018

extends to

414 As we did for CR2017, we requested three CCMC runs for CR 2018: the MWO-WSA-
415 ENLIL, NSO-WSA-ENLIL, and NSO-MAS-ENLIL runs. Figure 7 compares the photospheric
416 synoptic maps from MWO and NSO in the first panel. Analogous to the comparison in Figure 4,
417 the NSO map displays more small-scale structures than the MWO map, and the WSA coronal
418 model results at 21.5 Rs based on them are remarkably different. The derived HCS from the
419 NSO-WSA model ~~is tilted to~~ higher latitude than the HCS from the MWO-WSA model, by about
420 15° , probably because the field from the low-latitude active regions and coronal holes in the
421 NSO-WSA model is stronger. The high-latitude solar wind from the NSO-WSA model is faster
422 than from the MWO-WSA model. The speed distributions in the slow wind regions over
423 longitude 240° - 360° are fairly different between the two models.

424
425 Figure 8 illustrates the color contours of the solar wind speed, number density, particle
426 temperature, plasma thermal pressure, and magnetic field intensity from the three runs at 0.144
427 AU, the common innermost boundary of the heliospheric part. The NSO-WSA-ENLIL model
428 looks like an intermediate solution between the other two models, in terms of the differences of
429 V , T_p , and B between the slow and fast wind. The HCSs from the NSO-WSA-ENLIL and NSO-
430 MAS-ENLIL models are both more tilted than the one from the MWO-WSA-ENLIL model,
431 while the slow wind region from the NSO-MAS-ENLIL model is considerably wider than from
432 the other two models. The structure at 0.144 AU from the NSO-WSA-ENLIL model is more
433 complicated than from the other two models, including two fast streams over the longitude 160° -
434 280° . The weak-field region over longitude 240° - 360° from the MWO-WSA-ENLIL model is
435 smaller than from the other two models.

436
437 Figure 9(a) compares the ACE observations (black dots) and simulation results (color curved
438 ... probably attributed to the

and $0.40R_{\odot}$ and $0.77R_{\odot}$ respectively, the unit vector \mathbf{R} points away from Sun center through the spacecraft; \mathbf{T} is formed by the cross product of the solar rotation axis and \mathbf{R} and lies in the solar equatorial plane; and \mathbf{N} is the projection of the solar rotation axis on the plane of the sky. As the two shocks roughly propagate against each other, these SIRs are expected to expand and merge into one SIR, as confirmed by the *Ulysses* observation at 5.4 AU.

At 1 AU, the NSO-WSA-ENLIL model predicts the timing of SIRs #5 and #6 best, consistent with its best estimation of the overall solar wind speed among the three models, as shown in Figure 9(a). The NSO-MAS-ENLIL model (blue line) predicts a later interface time for both SIRs #5 and #6, probably because its simulated fast wind interval is too short and the slow wind lasts long although the maximum fast wind speed is overestimated by nearly 100 km s^{-1} . The MWO-WSA-ENLIL model (red line) generates a 1.5-day late timing for SIR #5, probably due to its overall underestimation of solar wind speed. The simulated magnetic field polarity and

* I'm not sure that the concept of 'hit' is appropriate unless the solar field is a simple dipole, tilted wrt the rotation axis. Then the loci is a sinusoid on a lat/lon map. Otherwise 'maximum extent' might be a better descriptor.

what was

506 among the three models, and the parameters are closest to the observations too. Even so, ~~the~~ P_t is
507 only one third of the observed. This model also mimics the observed non-symmetric temporal
508 variations of N_p , B , P_t , and P_{dyn} , while the other two models produce a more gradual increase for r
509 these parameters. For both SIRs, the order of SIR timing and the order of SIR strength among the
510 three models both change from 1 to 5.4 AU.

511
512 At both 1 and 5.4 AU, the difference between the two runs using different solar synoptic
513 maps can be as prominent as the difference caused by different coronal models, similar to what we
514 concluded from the comparison of CR 2017. Based on the cumulative assessment of multiple
515 parameters, for SIRs #5 and #6 at two heliocentric distances, the NSO-MAS-ENLIL model is
516 rated number 1 for three items (Table 1), indicating it predicts the CR 2018 features best, while
517 the MWO-WSA-ENLIL model is rated number β for three items and thus does not do as good a
518 job matching the observations for this CR.

space

520 3. Discussion and Conclusions

521
522 We have chosen three CRs 2016 – 2018 in 2004, when solar wind stream structures are
523 observed by both ACE and *Ulysses* at 1 and 5.4 AU, respectively. No ICMEs occurred in this
524 time window. The radial variations of the six SIRs agree with our statistical results for 1-AU and
525 5.4-AU SIRs in Jian *et al.* (2006, 2008b). From 1 to 5.4 AU, the slow streams are accelerated,
526 while the fast streams are decelerated, and more shocks, usually forward-reverse shock pairs, are
527 driven. In CR 2018, three small SIRs appeared during 10-22 July at 1 AU, while only one
528 corresponding SIR was found at 5.4 AU, implying the interaction and merging of small SIRs
529 along with their outward propagation. This at least partially explains why we see fewer SIRs at a
530 greater heliocentric distance (Jian *et al.*, 2008b).

Enlil (check throughout)

531
532 Comparing the observations and model results, we conclude that the ENLIL model can
533 generally reproduce the sector boundaries and field polarity, and roughly capture the occurrence
534 and features of SIRs, but it cannot precisely predict the timing of the SIRs and sector boundaries.
535 From Table 1, in terms of timing, the best performer is the NSO-WSA-ENLIL model (four times
536 producing the best match
the MWO WSA ENLIL model), then

s and are called corotating interaction regions, or CIRs (Smith et al., 1998). Being able to capture these SIRs is an important element in the validation of successful space weather forecasting. Having corotating interaction regions at multiple heliocentric distances (Jian *et al.*, 2006, 2008a, 2008b) is being here several SIRs at 1 and 5.4 AU in depth to evaluate the structure and produce these SIRs and their radial variations.

Observations

Ulysses was the first spacecraft to study the Sun and solar wind at nearly 90° latitude in a trajectory highly inclined to the ecliptic plane (Wenzel et al., 1992). *Ulysses* accomplished nearly three complete orbits in its mission. It passes at 5.4 AU in February 1992, April 1998, and June 2001. The data and observations was poor for the first aphelion pass. But during the second and third passes, *Advanced Composition Explorer* (ACE) provided complementary observations at 1 AU, enabling us to study the radial evolution of individual features. The latitudinal alignment during the two aphelion passes was a challenge because the wind structure was too complicated and varied dramatically from one pass to the next. During periods of strong solar activity; at other times no clearly identified features were observed.

576 of $1280 \times 45 \times 180$ grid, which is the highest available at the CCMC. The corresponding model
577 resolution is only 0.0078 AU (about 40 minutes assuming solar wind speed of 500 km s^{-1}) in
578 radial distance, 2° in longitude, and 2° in latitude for the 10-AU heliosphere. Converting the
579 360° -longitude range to one CR, the temporal resolution is about 3.6 hours. Models with such
580 low resolution cannot capture small-scale structures in the solar wind, in particular, shocks and
581 the boundaries between fast and slow streams which exhibit sharp changes of parameters within
582 a few minutes. For example, none of the shocks reproduce the double forward shocks during CR
583 2017.

a simulation requ spanning

585 To resolve the small-scale structures and obtain variations of such as 10-minute resolution in
586 the simulation, the grid points in the radial and longitudinal directions need to be approximately
587 5120 and 4000 for a 10-AU heliosphere, or 1024 and 800 for a 2-AU heliosphere. At present, the
588 finest grid for 2-AU ~~heliosphere at the CCMC~~ is $1024 \times 120 \times 360$, so the desirable high resolution
589 grid is possible for some test runs. This discussion also raises the issue of the outer boundary. As
590 mentioned in the introduction, *Ulysses* provided an important and unique solar wind data set with
591 its aphelion just beyond 5 AU, its high latitude perspective, and its long-term observations. We
592 hope the CCMC can add the option of 5.5 or 6 AU for the outer boundary of the ENLIL model.
593 This can greatly benefit the investigation of the space environment for Jupiter, for example.

595 Because all observatories have their own special ways of constructing and correcting the
596 synoptic maps (e.g., Neugebauer *et al.*, 1998; Arge and Pizzo, 2000; and references therein), the
597 ~~ENLIL~~ model using synoptic maps from different sources can generate results with significant

MAS and WSA
(as noted earlier, Enlil doesn't even use a real B!)

616 differ from the order at 1 AU. Because the same SIR at two heliocentric distances are not
617 completely independent cases, we ~~definitely need to study~~ more CRs to obtain more convincing
618 statistics and to find out which observatory and which coronal model can statistically reproduce
619 SIRs best.

MUST

620
621 It would also be helpful to have access to inter-calibrated magnetograms from multiple
622 sources, which have a higher level of confidence in the absolute field strength and also validated
623 corrections to the observationally challenging polar fields (Owen *et al.*, 2005; Lee *et al.*, 2009).
624 Before such boundary data becomes available, our comparison suggests that when we do not
625 know which solar synoptic map or which coronal model is more reliable, it is instructive to run
626 the heliospheric model using multiple solar magnetograms and multiple coronal models. The
627 lack of a definitive best input is also why the CCMC has added GONG as an additional source of
628 input. The CCMC also has plans to take the magnetograms from the Michelson Doppler Imager
629 (Scherrer *et al.*, 1995) on board the Solar and Heliospheric Observatory (SOHO) spacecraft, and
630 from the Solar Dynamics Observatory (SDO), which has ~~much higher resolution solar~~
631 ~~observations than before.~~

must use a network of x x y
within 30 Rs
Purdee Synge

632
633 Because we do not have *in situ* observations for ~~the coronal model domain~~, it is difficult to
634 evaluate the different coronal models quantitatively. At present, we can use the heliospheric
635 model results evolved from the coronal-model outer boundary, or the images in extreme
636 ultraviolet (EUV) and soft X-rays to indirectly assess the coronal models. The CCMC can in
637 principle provide simulated coronal hole maps and/or polarized brightness maps as output from
638 the coronal models used in WSA-ENLIL and MAS-ENLIL, as ~~what~~ has been provided ~~for~~ *to support the*
639 STEREO ~~support~~ by the Predictive Science. These maps can be compared with ~~the~~ coronal
640 observations ~~as a sanity check on the coronal models~~. Future missions, such as the Solar Orbiter
641 (Marsch *et al.*, 2005) or Solar Probe Plus (McComas *et al.*, 2005), will make critical
642 measurements in the outer corona and ~~mid-range~~ inner heliosphere that will also greatly benefit
643 coronal and heliospheric models.

MUST
(http://www.predict-sc/stereo/)

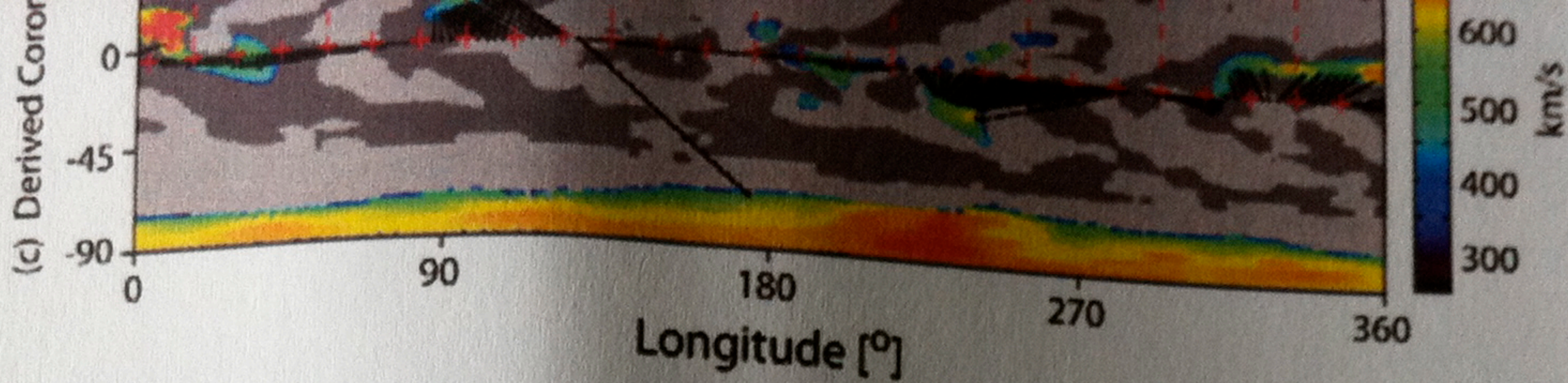
M165

to provide at least a qualitative validation

and the

parameters in the inner heliosphere

ENLIL runs at CCMC are conducted promptly following submission, usually taking a couple of days. This interface to the models provides a ~~uniquely~~ useful and convenient analyzing and predicting the solar wind and ~~planetary space environment~~. In comparison to earlier model ENLIL 2.3a for the same time periods (not shown here), we find the new ENLIL 2.6 can generate stronger enhancements of solar wind density, field, and pressures, but lower temperature. In comparison with observations, the new code can give better field and pressure estimations, but worse temperature matches. As the model codes are constantly updated by developers, it is important to run and validate the new versions in systematic ways to test the effects of the changes made. Since we ran the case studies described above, the CCMC has increased the number of coronal and heliospheric models that it serves, and now includes, *e.g.*, *for example,* the Weather Modeling Framework from the University of Michigan. More model simulations and more sources of synoptic maps will soon be offered at the CCMC. We will



790
791
792
793
794
795
796
797
798
799
800
801
802
803

Figure 1 The MWO photospheric magnetograph and the WSA coronal model results for CR 2016. The panels from top to bottom: (a) the MWO photospheric magnetograph; (b) the derived solar wind speed at 21.5 Rs, *i.e.*, about 0.1 AU; (c) the derived coronal hole areas with the solid black lines connecting the outer coronal boundary at 21.5 Rs and its source regions at the photosphere. In panel (b), the two dashed black lines mark the latitudinal range covered in Figure 2. In panel (c), the colored dots represent photospheric footpoints of the open field lines. The areas shaded light (or dark) gray denote closed field lines with positive (or negative) radial magnetic field in the photosphere. The color scale indicates the solar wind speed at 21.5 Rs (related to the expansion factor, see Arge *et al.*, 2004, and references therein) associated with the flux tubes. In all the three panels, the + symbol marks the daily position of the sub-Earth point on the Sun, and the time sequence is from ~~left to right~~.

right to left

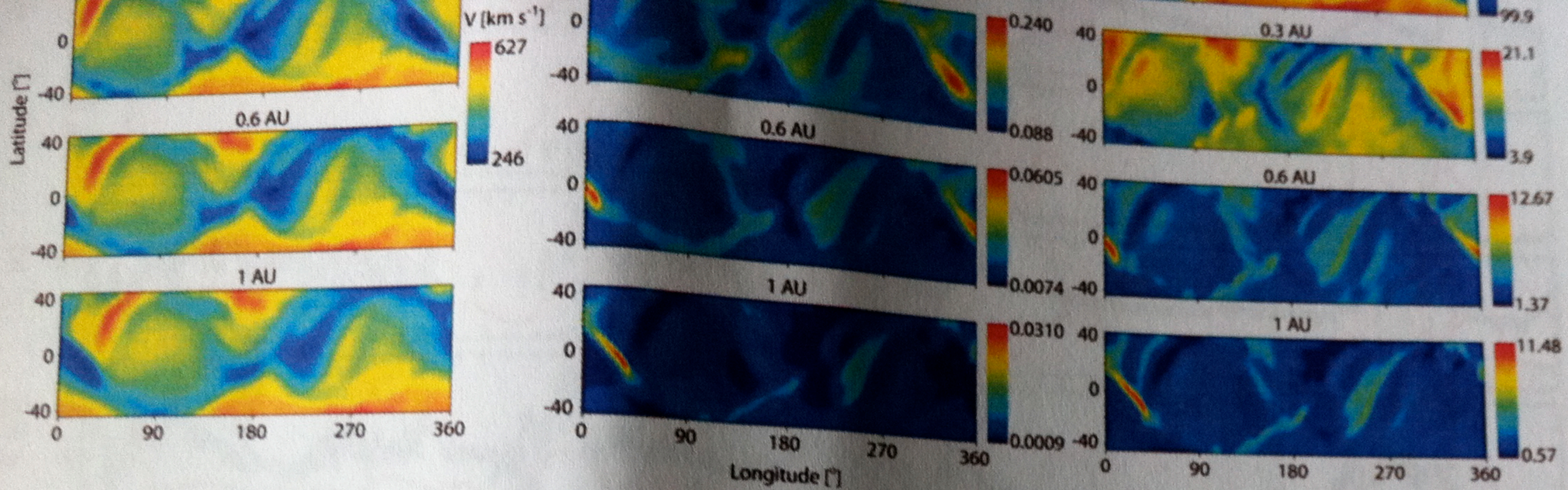


Figure 2 The color contours of (a) solar wind speed, (b) plasma thermal pressure, and (c) magnetic field intensity from the MWO-WSA-ENLIL model at 0.1, 0.3, 0.6, and 1 AU. The indication of different color is given by the color scale. The longitudinal variation from 0° to 360° represents the temporal variation throughout one CR.

?
Spatial variation?

CR 2016

• Spacecraft Observation (ACE or Ulysses)

HGI ACE: Latitude -4.0° to -1.1° Longitude 146.3° to 171.2°

Ulysses: Latitude -4.0° to -5.2° Longitude 81.7° to 81.9°

MWO-WSA-ENLIL

Figure 3 Comparison of ENLIL model results with (a) ACE observations during 2-29 May 2004 and (b) *Ulysses* observations during 12 May – 7 June 2004. From top to bottom: solar wind speed V , proton number density N_p , proton temperature T_p , magnetic field intensity B , magnetic field polarity, total pressure P_t , and dynamic pressure P_{dyn} . The black dots are *in situ* spacecraft observations; the solid red lines give the model results. The spacecraft positions in the heliographic inertial (HGI) coordinates are provided at the bottom. Considering the orbital period at 5.4 AU is much longer than the solar rotation period, we use the solar equatorial rotation period of 26 days as the time window. The magenta dashed vertical lines mark the observed shocks, labeled f.s. for forward shock and r.s. for reverse shock.

I understand what you mean but this is confusing / vague. It's probably not necessary.

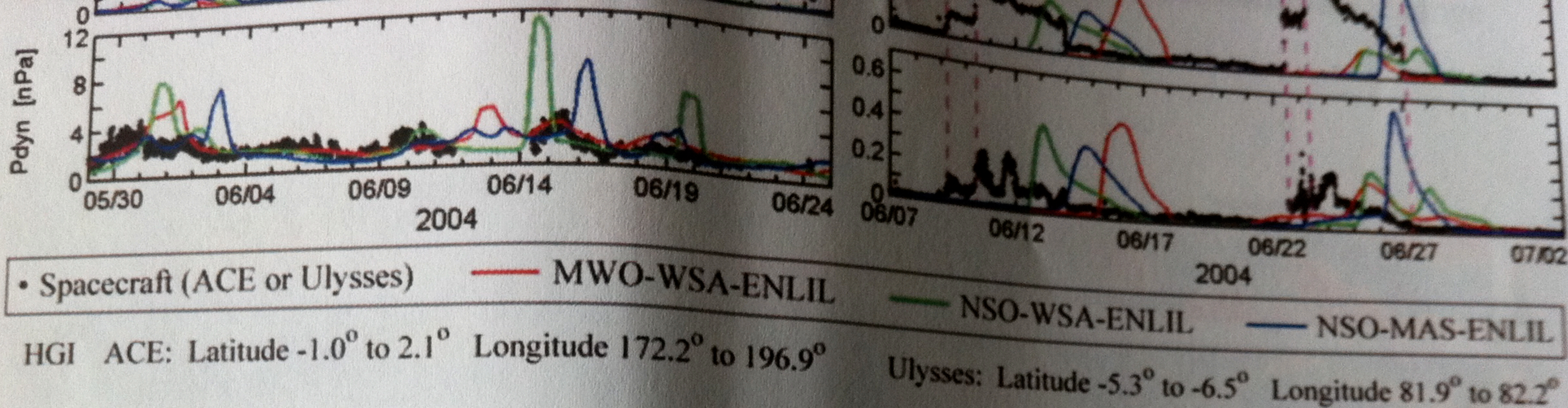


Figure 6 Comparison of the ENLIL simulation results with (a) ACE observations at 1 AU during 29 May – 25 June 2004 and (b) *Ulysses* observations at 5.4 AU during 7 June – 3 July 2004. The black dots denote spacecraft observations; the red, green and blue lines indicate the results from the MWO-WSA-ENLIL, NSO-WSA-ENLIL, and NSO-MAS-ENLIL models, respectively. The spacecraft location are given at the bottom. From top to bottom are: the V , N_p , T_p , B , magnetic field polarity, P_t , and P_{dyn} . The magenta dashed vertical lines mark the observed shocks.

S