

₁ Interpretation of the Cross Correlation Function of
₂ ACE and STEREO Solar Wind Velocities using a
₃ Global MHD Model

Pete Riley¹, J. Luhmann², A. Opitz³, J. A. Linker¹, and Z. Mikic¹

¹Predictive Science, San Diego, California,
USA.

²SSL, University of California Berkeley,
California, USA.

³Centre d'Etude Spatiale des
Rayonnements (CNRS-UPS), University of
Toulouse, Toulouse, France.

4 **Abstract.** Measurements from the ACE, STEREO A and B spacecraft
5 are allowing an unprecedented view of the structure of the three-dimensional
6 heliosphere. One aspect of this is the degree to which the measurements at
7 one spacecraft correlate with those at the other. We have computed the cross
8 correlation functions (CCFs) for all three combinations of ACE, STEREO
9 A, and B *in situ* observations of the bulk solar wind velocity as the space-
10 craft moved progressively farther away from one another. Our results con-
11 firm previous studies that the phase lag between the signals becomes linearly
12 larger with time. However, we have identified two intervals where this ap-
13 pears to break down. During these “lulls,” the CCF reveals a phase lag con-
14 siderably less than that which would be predicted based only on the angu-
15 lar separation of the spacecraft. We modeled the entire STEREO time pe-
16 riod using a global MHD model to investigate the cause for these “lulls.” We
17 find that a combination of time-dependent evolution of the streams as well
18 as spatial inhomogeneities, due to the latitudinal separation of the spacecraft,
19 are sufficient to explain them.

1. Introduction

20 The STEREO (Solar Terrestrial RElations Observatory) spacecraft launched on October
21 25th, 2006 on a Delta II rocket. Since early 2007, it has been continuously returning
22 a wide range of remote solar and *in situ* measurements of the Sun's corona and the
23 inner heliosphere. Charged with a number of fundamental scientific objectives, one of
24 particular relevance to this study is to improve our understanding of the structure of
25 the ambient solar wind. With nearly identical instrumentation, the STEREO ahead (A)
26 and behind (B) spacecraft are separating by $\sim 45^\circ$ per year. Restricted to the ecliptic
27 plane, in addition to the monotonically-increasing longitudinal separation, the spacecraft
28 also separate from one another in radial separation (up to a maximum of ~ 0.15 AU)
29 as well as in heliographic latitude (up to a maximum separation of $\sim 14.4^\circ$). THE ACE
30 (Advanced Composition Explorer) spacecraft launched on August 25th, 1997, and since
31 then has provided a continuous stream of *in situ* measurements of the solar wind [*Stone*
32 *et al.*, 1998]. The measurements from STEREO A and B, coupled with those from ACE,
33 thus represent a unique dataset from which to study the effects of spatial and temporal
34 evolution of solar wind streams, and, in particular, to assess the degree of correlation
35 between them.

36 Previous studies have investigated the correlation of solar wind stream structure from
37 one and multiple spacecraft. The first comprehensive auto-correlation analysis of *in situ*
38 solar wind data was performed by *Gosling and Bame* [1972]. Using solar-wind speed
39 data from the Vela 2 and 3 missions, they assessed to what extent solar wind structure
40 persisted from one rotation to the next. They found that the average correlation was

only 0.3, suggesting that most structure did not persist from one rotation to the next; However, this coefficient varied from 0.1 to 0.7 at different times. They also noted that differential rotation affected the results, the implication being that a wide range of helio-latitudes contributed to the solar wind measured at Earth. In a more comprehensive analysis, *Gosling et al.* [1976] found that the most stable stream structure occurred during the declining phase of the solar cycle. *Richardson et al.* [1998] cross-correlated data from ISEE 3 at L1 and IMP 8 at Earth for times corresponding to near-solar maximum conditions. They found that the temporal lag between the structures observed at the two spacecraft depended on both the radial and azimuthal separation. Additionally, they found that the lag required a correction due to corotation, that is, that the stream normals are tilted away from the radial direction and toward the direction of planetary motion. In contrast, *Paularena et al.* [1998], investigating the correlation between data observed by IMP 8, Interball-1, and Wind during near-solar minimum conditions, found that the correlation depended only on the radial separation of the spacecraft and not on the azimuthal separation. Moreover, they did not find any need to correct for corotation. *Richardson et al.* [1998] suggested that the smaller angular separation of the spacecraft in the *Paularena et al.* [1998] study, together with the fact that the two investigations used data from different extremes of the solar cycle could account for these apparent contradictions.

Podesta et al. [2008] first reported on the correlation length of large-scale solar wind velocity fluctuations measured at STEREO A and B. They focused on the interval between February 2007 and August 2007, corresponding to near-solar minimum conditions. They found that the transverse correlation length was 0.25 ± 0.02 AU. *Opitz et al.* [2009] analyzed

64 the solar wind velocity from STEREO A and B from March to August of 2007. Their study
65 focused on the temporal evolution of the solar wind at the two spacecraft by removing
66 spatial effects caused by the radial and angular separation of the two spacecraft. In
67 particular, they time-shifted STEREO B, accounting for both longitudinal and radial
68 separation and computed the correlation coefficient between it and STEREO A data.
69 They found that the correlation decreased with increasing separation (and time). However,
70 they noted some exceptions to the otherwise good correlations found: (1) Day 142, 2007,
71 which coincided with an ICME; (2) Day 155, 2007, associated with a CIR; (3) day 201,
72 2007, which coincided with significant velocity gradient bisecting the $\sim 2^\circ$ latitudinal
73 separation of the spacecraft [Rowillard *et al.*, 2009]; and (4) days 227 - 235, 2007. They
74 ascribed the poor correlation during the first portion of this last interval (days 227 - 231)
75 to temporal evolution of the solar wind source as it moved from under one spacecraft to
76 the other. Since the stream structure of the second half of this interval remained intact one
77 rotation later, they suggested that the poor correlation was due to spatial inhomogeneities.

2. The Orbits of the ACE and STEREO Spacecraft

78 The relative locations of the ACE and STEREO spacecraft obviously play an impor-
79 tant role in understanding the large-scale correlation of solar wind parameters. Figure 1
80 summarizes the heliocentric distance, latitude, and longitude of the spacecraft, together
81 with the differences between them. In the top panel, $R - 1$ is plotted, showing that the
82 STEREO spacecraft oscillate about values slightly less or more than 1 AU. These oscilla-
83 tions are synchronous so that during mid/late 2007, 2008, and 2009 the spacecraft have a
84 maximum radial separation of ~ 0.13 AU. We can estimate the maximum temporal lag be-
85 tween the STEREO spacecraft due to the radial separation using $\Delta t = \Delta r / v_{sw}$. Assuming

86 $v_{sw} = 600 \text{ km s}^{-1}$, we obtain $\Delta t \sim 9 \text{ hours}$. The temporal lag due to longitudinal effects
 87 obviously begins to dominate once the spacecraft are separated by $\sim \frac{1 \text{ day}}{27 \text{ days}} \times 360^\circ \sim 13^\circ$.
 88 Following launch, the two STEREO spacecraft maintained their position in the ecliptic
 89 plane, but as they moved farther away from Earth (and hence ACE), their heliographic
 90 latitudinal separation began to oscillate, the amplitude of which became progressively
 91 larger. Maximum latitudinal differences occurred at the shortly before the beginning of,
 92 and midway through each year. Finally, in the bottom panel, the inertial longitude of the
 93 three spacecraft is shown. Of particular note is that this separation is not strictly linear:
 94 Prior to, and during the early portion of each calendar year, the increase in separation is
 95 modest, whereas, for the remainder of the year, it is more pronounced.

96 In this study, we investigate the evolving cross correlation functions (CCFs) computed
 97 from 1-hour averaged solar wind velocity measurements from the PLASTIC instruments
 98 [Galvin *et al.*, 2008] onboard STEREO A and B and the SWEPAM instrument onboard
 99 ACE [McComas *et al.*, 1998]. The three spacecraft allow us to compute three CCFs: (i)
 100 STEREO B/ACE; (ii) ACE/STEREO A; and (iii) STEREO B/ACE. Unlike the previ-
 101 ous study of Opitz *et al.* [2009], which did not include near-Earth measurements, we do
 102 not assume and apply a phase lag between the measurements from which a correlation
 103 coefficient is computed, but rather compute the temporal phase lag between each pair
 104 of spacecraft that maximizes the CCF. To a first approximation, the results match our
 105 intuition and previous studies, that the phase lag increases linearly with the angular sepa-
 106 ration of the spacecraft; However, there are two interesting intervals, in particular, where
 107 the phase lag “pauses.” We use global MHD model solutions to show that these intervals
 108 are due to a combination of both temporal and spatial effects.

3. Analysis of ACE and STEREO in situ Bulk Solar Wind Speed Observations

109 In general, the CCF between two continuous functions is the integral of the complex
 110 conjugate of one variable and the time-shifted value of the other variable:

$$(f \star g)(\Delta t) = \int_{-\infty}^{\infty} f^*(\tau)g(\Delta t + \tau)d\tau \quad (1)$$

111 Extending this to real-valued discrete functions of finite length, which in this study
 112 are the bulk solar wind velocities measured at the two spacecraft (v_A and v_B) over some
 113 temporal lag, Δt , we can define the CCF to be:

$$\begin{aligned} (v_A \star v_B)(\Delta t) &= = \frac{\sum_{k=0}^{N-|\Delta t|-1} (v_{A,k+|\Delta t|} - \bar{v}_A)(v_{B,k} - \bar{v}_B)}{\sqrt{\left[\sum_{k=0}^{N-1} (v_{A,k} - \bar{v}_A)^2\right] \left[\sum_{k=0}^{N-1} (v_{B,k} - \bar{v}_B)^2\right]}} \text{for } L < 0 \\ &= \frac{\sum_{k=0}^{N-|\Delta t|-1} (v_{A,k} - \bar{v}_A)(v_{B,k+\Delta t} - \bar{v}_B)}{\sqrt{\left[\sum_{k=0}^{N-1} (v_{A,k} - \bar{v}_A)^2\right] \left[\sum_{k=0}^{N-1} (v_{B,k} - \bar{v}_B)^2\right]}} \text{for } L > 0 \end{aligned} \quad (2)$$

114 where \bar{v}_A and \bar{v}_B are the mean values of variables between 0 and $N - 1$ ¹.

115 Thus, for two real-valued functions (v_A and v_B), which differ only by a shift along the
 116 time axis, we can compute the CCF for a range of time lags (Δt). Where the functions
 117 match, the peaks and troughs become aligned, making a positive contribution to the sum-
 118 mation, and the CCF is maximized. In the specific case of bulk solar wind velocities, which
 119 are always positive, the CCF maximum is weighted more by the fast solar wind streams,
 120 than the slow wind, since they contribute proportionately more to the summations.

121 Figure 2 illustrates graphically how the time shift that maximizes the CCF increases as
 122 the angular separation of the spacecraft becomes larger. We can estimate how we would
 123 expect the time lag (Δt) that maximizes the CCF to increase with angular separation

124 $(\Delta\lambda)$. It is simply the fraction of a solar rotation by which the spacecraft are separated.

125 Thus, we anticipate that the phase lag should change by:

$$\Delta t = -\frac{\tau_{rot}}{360^\circ}\Delta\lambda \quad (3)$$

126 where τ_{rot} is the rotation period of the Sun, and we have chosen a negative decrease to
 127 reflect a convention that it is the amount of time that measurements from the ahead
 128 spacecraft must be shifted back in time to align with the spacecraft located at an earlier
 129 longitude. As a concrete example, at a separation of 55.5° , the predicted absolute phase
 130 lag would be ~ 100 hrs, or a little over 4 days. It is worth noting that the synodic
 131 ($\tau_{rot} = 27.27$ days), rather than the sidereal ($\tau_{rot} = 25.38$ days) period is the appropriate
 132 interval to use, since the spacecraft are drifting in an Earth-based reference frame, and
 133 not some fixed inertial point in space.

134 In Figure 3 (top), we have identified and plotted the phase lag of the peak of the
 135 computed CCF as a function of the STEREO A and B spacecraft separation. A CCF
 136 was computed every 10^{-3} years and each CCF was computed using a window of 0.1 years.
 137 The phase lag was identified automatically by locating the peak in the CCF and all CCFs
 138 were visually inspected to verify that the peak represented a pronounced maximum in
 139 the distribution. The anticipated phase lag from equation (3) is shown by the dashed
 140 line. To a first approximation, then the computed phase lag matches the simple formula.
 141 That is, the phase lag increases linearly with time. However, two obvious deviations are
 142 apparent. Since they represent intervals where the phase lag appears to “pause” from
 143 its trend of increasing, we refer to them as “lulls.” The first is centered on Carrington
 144 rotation (CR) 2061 (which spanned from September 10th, 2007 to October 8th, 2007, or

145 days 253 through 281), while the second is centered on CR 2069 (which spanned from
146 April 16th, 2008 to May 13th, 2008, or days 107 through 134). Both intervals encompass
147 approximately the same duration in longitude, $\sim 12.5^\circ$, corresponding to ~ 3.5 months or
148 101 days. Whereas the first has the appearance of a “pause,” in the sense that the phase
149 lag holds steady at -45 hours before returning to its expected value, the second shows a
150 significant reversal in the trend of increasing lag: Where the predicted lag would have
151 been -90 hours, the computed lag was only -55 hours, a difference of 35 hours, or 19.4° in
152 effective longitude.

153 In Figure 3 (bottom), we show the value of the peak correlation coefficient at that phase
154 lag. Thus, until the STEREO spacecraft reached a separation of $\sim 75^\circ$, the correlation
155 coefficient exceeded 0.6 and, for the majority of the time remained near 0.8. We note
156 that during the first lull, the peak cross correlation coefficient was slightly higher than the
157 surrounding values, but during the second lull, it was markedly lower. Beyond $\sim 75^\circ$, as
158 the peak correlation coefficient decreased, multiple peaks appeared, and, while it would
159 have been possible to force a local phase lag that matched our expectations based on
160 equation (3), the low value of the correlation coefficient would cast doubt on any inferences
161 drawn.

162 We performed a similar analysis for ACE and STEREO A. The results are shown in
163 Figure 4. We have scaled the plot to half the maximum values of Figure 3 so that features
164 can be compared directly. In particular, by scaling the longitude to half the maximum
165 value of Figure 3, the two panels span the same duration in time. In the top panel we
166 can see similar lulls centered at approximately 17° and 29° . These are roughly half the
167 longitudinal separations for the lulls found in the analysis of STEREO A/B, and thus

168 occur at the same time. Concerning the duration of the lulls; while the second one lasts
169 approximately the same duration in time, the first appears to be significantly broader. We
170 also note that the peak cross correlation coefficient is, on average slightly larger for this
171 pair of spacecraft; a predictable result given that the spacecraft are closer to one another.

172 Finally, in Figure 5, we summarize the cross correlation analysis for STEREO B and
173 ACE. Here, the first lull is approximately the same duration as in Figure 3, while the
174 second one is slightly shorter. More strikingly, the second lull shows a steep initial rise
175 from -40 hours to less than -20 hours, with a subsequent slower decay back to the predicted
176 phase lag.

4. Global MHD Model Solutions for the STEREO Era

177 The first MHD models of the solar corona were developed almost 40 years ago [*Endler,*
178 *1971; Pneuman and Kopp, 1971*]. Over the years they have become progressively more
179 sophisticated [*Steinolfson et al., 1982; Linker et al., 1990; Mikić and Linker, 1994*], culmi-
180 nating in models that include the photospheric field as a boundary condition [*Usmanov,*
181 *1993; Mikić et al., 1996; Riley et al., 2001a; Roussev et al., 2003*]. Complementary efforts
182 focusing on heliospheric models, where the inner boundary was placed beyond the out-
183 ermost critical point, have also been pursued [*Dryer et al., 1978; Pizzo, 1978; Smith and*
184 *Dryer, 1990; Detman et al., 1991; Odstrcil, 1994*]. Most recently, coronal and heliospheric
185 models have been coupled [*Riley et al., 2001a, 2002; Odstrcil et al., 2002; Riley et al.,*
186 *2003; Odstrcil et al., 2004; Manchester et al., 2006; Riley et al., 2007*] and more sophisti-
187 cated descriptions of energy transport processes have been included [*Lionello et al., 2001;*
188 *Lionello et al., 2009*].

189 We have computed global coronal and heliospheric polytropic MHD solutions span-
 190 ning more than 35 years, and, in particular, for the entire STEREO mission to date
 191 (<http://www.predsci.com/sterEO/>). An important feature that makes our approach
 192 unique is the use of observed photospheric magnetograms to drive the solutions. Studies
 193 comparing model results with eclipses [*Mikic et al.*, 2002; *Mikić et al.*, 2007] as well as *in*
 194 *situ* observations at Ulysses and near Earth have shown that we can reproduce the basic
 195 features of the solar corona and inner heliosphere [*Riley et al.*, 1996, 2001a, b, 2002, 2003;
 196 *Riley*, 2007].

197 In general, our three-dimensional, time-dependent algorithm solves the following form
 198 of the resistive MHD equations on a non-uniform grid in spherical coordinates:

$$\nabla \times \mathbf{B} = \frac{4\pi}{c} \mathbf{J}, \tag{4}$$

$$\nabla \times \mathbf{E} = -\frac{1}{c} \frac{\partial \mathbf{B}}{\partial t}, \tag{5}$$

$$\mathbf{E} + \frac{\mathbf{v} \times \mathbf{B}}{c} = \eta \mathbf{J}, \tag{6}$$

$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{v}) = 0, \tag{7}$$

$$\frac{1}{\gamma - 1} \left(\frac{\partial T}{\partial t} + \mathbf{v} \cdot \nabla T \right) = -T \nabla \cdot \mathbf{v} + \frac{m_p}{2k\rho} S \tag{8}$$

$$\rho \left(\frac{\partial \mathbf{v}}{\partial t} + \mathbf{v} \cdot \nabla \mathbf{v} \right) = \frac{1}{c} \mathbf{J} \times \mathbf{B} - \nabla(p + p_w) + \rho \mathbf{g} + \nabla \cdot (\nu \rho \nabla \mathbf{v}), \tag{9}$$

$$S = (-\nabla \cdot \mathbf{q} - n_e n_p Q(T) + H_{\text{ch}}), \tag{10}$$

199 where \mathbf{B} is the magnetic field, \mathbf{J} is the electric current density, \mathbf{E} is the electric field,
 200 ρ , \mathbf{v} , p , and T are the plasma mass density, velocity, pressure, and temperature, $\mathbf{g} =$
 201 $-g_0 R_S^2 \hat{\mathbf{r}}/r^2$ is the gravitational acceleration, η the resistivity, and ν is the kinematic
 202 viscosity. Equation (10) contains the radiation loss function $Q(T)$, n_e and n_p are the

203 electron and proton number density (which are equal for a hydrogen plasma), m_p is the
204 proton mass, γ is the polytropic index, H_{ch} is the coronal heating term, and \mathbf{q} is the heat
205 flux. The wave pressure term p_w in Eq. (9) represents the contribution due to Alfvén
206 waves and is evolved using the WKB approximation for time-space averaged Alfvén wave
207 energy density ϵ [Mikić *et al.*, 1999]. The method of solution of equation (6) through
208 (9), including the boundary conditions, has been described previously [Mikić and Linker,
209 1994; Linker and Mikić, 1997; Lionello *et al.*, 1999; Mikić *et al.*, 1999; Linker *et al.*, 2001;
210 Lionello *et al.*, 2009]. In the work presented here, however, we simplify these equations
211 by employing a “polytropic” energy equation, where $S = 0$ [Usmanov, 1993; Mikić *et al.*,
212 1996; Usmanov, 1996; Linker *et al.*, 1999; Mikić *et al.*, 1999; Riley *et al.*, 2001a, 2002, 2003;
213 Roussev *et al.*, 2003] and employ an empirical technique for deriving the speed profile for
214 the inner boundary of the heliospheric model. Although such an approximation is at odds
215 with observations (it requires that we set $\gamma = 1.05$ in the coronal model, for example), we
216 have found that that this approach for deriving solar wind speed is, at least currently, more
217 accurate than can be obtained from the more self-consistent thermodynamic approach
218 [Riley *et al.*, 2010].

219 Figure 6 compares model results with STEREO and ACE observations for CR 2060,
220 which occurred during one the intervals identified as “lulls.” The solid lines show model
221 solutions, which were extracted by flying the spacecraft trajectories through the simulation
222 domain. We note that the relative phasing of the streams at the three locations is captured
223 in the model results. The fast stream centered on day 240, for example, is first seen
224 at STEREO B, then ACE, and finally at STEREO A. Moreover, the general large-scale
225 stream structure for this rotation is reproduced by the model: Generally slow and variable

226 wind during the first half, followed by a large stream at day 240, and two smaller streams
227 following it. The precise phasing of the modeled streams relative to the observations
228 does not match up well, however: The first stream is predicted to arrive earlier than it
229 actually does and the second stream is predicted to arrive later. Overall, however, these
230 relatively typical results match sufficiently well that the model can be used to interpret
231 the observations. The bottom panel summarizes the polarity of the radial component of
232 the magnetic field. Both model and observations suggest an essentially two-sector pattern
233 for this rotation.

234 Figure 7 summarizes the computed coronal hole boundaries for CRs 2058 through 2063.
235 These maps mark regions of open field lines (dark grey) and closed field lines (light grey)
236 at the photosphere. We note that, during this time, there were well-defined polar coronal
237 holes, together with equatorward extensions to these holes, as well as low and mid-latitude
238 holes, not obviously connected to other open field regions. The quantitative steps taken
239 to compute the speed profiles in the model are described by *Riley et al.* [2001a]. In brief,
240 a velocity profile at the photosphere, consisting of fast wind everywhere with slow wind
241 localized at the boundaries between the open and closed field lines, is mapped outward
242 along the field lines to $30R_S$. Figure 8 shows the results of that mapping. Specifically,
243 it shows the bulk radial solar wind velocity at $30R_S$ for each of these six rotations. The
244 trajectories of ACE, STEREO A, and STEREO B are overlaid. Since Carrington longitude
245 increases from left to right in each panel, time proceeds from right to left. Thus, with
246 increasing time, the spacecraft sample progressively earlier Carrington longitudes.

247 The connection between the computed coronal holes in Figure 7 and the high-speed
248 streams within Figure 8 can, at least qualitatively, be understood; however, it is clear

249 that the topology of the field lines between $1R_S$ and $30R_S$ has added a great deal of
 250 complexity to the velocity map. From Figure 8, we note the following points. First, the
 251 spacecraft were essentially located at the same heliographic latitude during this interval.
 252 Certainly, based on the quality of the match shown in Figure 6, we could not reliably
 253 ascribe any spatial inhomogeneities to these modest separations. Second, the three high-
 254 speed streams intercepted by all three spacecraft, initially at $\sim 120^\circ$ in CR 2059 and
 255 $\sim 210^\circ$ and $\sim 340^\circ$ in CR 2060 drift westward in the ensuing rotations.

256 Figures 9 and 10 show coronal hole boundaries and speed profiles for CRs 2067 through
 257 2072, which span the second “lull.” For this interval, we note the following. First, the
 258 spacecraft were separated more substantially in heliographic latitude. Second, again,
 259 there was a westward progression of the high-speed streams that were intercepted by the
 260 spacecraft. Third, the stream boundaries tended to have a systematic tilt to them. This
 261 can be seen more clearly in the low-latitude coronal holes, which are orientated from
 262 SE to NW. The fast streams have a more complex profile, however, there is a tendency
 263 for STEREO A, which is at the highest heliographic latitude, to intercept the matching
 264 stream interface at a more westerly longitude.

5. Interpretation

265 There are two obvious ways that the linear relationship between time lag and the in-
 266 creasing longitude of the ACE and STEREO spacecraft can be broken: temporal changes
 267 and/or spatial inhomogeneities. In the case of the latter, the pattern at the Sun does not
 268 change in time so that the structure of the solar wind in a frame rotating with the Sun
 269 is stationary; that is, it is strictly corotating. However, if the spacecraft are not located
 270 at exactly the same heliographic latitude, they will intercept different plasma sources.

271 Consider, for example, an idealized, elongated low-latitude coronal hole, oriented so that
272 one end is in the SE and the other end lies in the NW. This is shown schematically in
273 Figure 11. If STEREO A is located at a higher heliographic latitude than either ACE or
274 STEREO B, then the CH, and hence fast solar wind stream, will arrive slightly earlier than
275 predicted since it is rooted in a more western source. Temporal effects can be understood
276 in a similar way. If a low-latitude CH evolves in time so that it shifts toward the west as
277 the structure passes from STEREO B to ACE and onto STEREO A, then the stream will
278 arrive earlier than predicted by equation (3). Both of these examples, thus, lead to the
279 “lulls” we have identified in the data. Clearly, in principle, it is possible for the opposite
280 effects to take place: Structure that is oriented from the NE to SW or temporal evolution
281 of structure that tends to precess in the Carrington frame would drive larger time lags.
282 Our model results, however, do not provide any examples of this occurring during the
283 STEREO timeframe. Instead, surrounding CR 2061, the general trend was for structures
284 intercepted by the spacecraft to drift westward, while surrounding CR 2070, both spatial
285 and temporal effects likely contributed to the “lulls.” In particular, the stream interfaces
286 were oriented from the SE to NW, so that wind from the same coronal hole arrived earlier
287 than would have been predicted, and the coronal hole structure evolved such that the fast
288 wind streams migrated westward. The variations in the peak cross correlation coefficient
289 during these lulls also provide some clues as to the nature of the processes producing them.
290 In all three cases, the peak coefficient was as large, or slightly larger than surrounding
291 values during the first lull, but was markedly lower during the second lull. This suggests
292 a more transient, or non-steady component to the processes producing the second lull.

293 As a final verification of this interpretation, we consider the first 6 Carrington rotations
294 of the STEREO mission. During this interval, the phase lag of the signals at all three
295 spacecraft matched the linear increase predicted by Equation (3). The computed solar
296 wind velocities at $30R_S$ for this interval are shown in Figure 12. During CR 2053 through
297 2055 the CCFs were driven by a stable pattern involving two long-lived equatorial coronal
298 holes (at longitudes of $\sim 110^\circ$ and $\sim 270^\circ$). The spacecraft were not significantly separated
299 in latitude, and thus, we would not expect spatial inhomogeneities to drive a deviation in
300 the time lag. Moreover, there was no systematic evolution of the coronal holes during this
301 interval. Based on these results, then, we would not expect any deviations in the time lag
302 profile. During the second half of this interval, the wind sampled by the spacecraft was
303 slow, variable, and unorganized. Again, there were no obvious systematic trends.

304 Finally, it is worth noting that our analysis has tacitly assumed a fixed rotation period
305 of 27.27 days. However, due to the super-radial expansion of the solar magnetic field, the
306 plasma may originate from a range of heliographic latitudes. *Lee et al.* [2008] have shown
307 that long-lived, high-speed streams may recur with periodicities in the range of 26.5 to 27.3
308 days. Using the Snodgrass formula for differential rotation of the photosphere [*Snodgrass*,
309 1983], this would suggest a source latitude lower than 43.4° , which $\tau_{rot} = 27.3$ days would
310 imply. Although the sense of this effect is in the same direction as the lulls we have
311 identified, its magnitude is too small to explain them: The lulls suggest deviations of
312 > 30 hours away from 27.27 days, whereas the effects described by *Lee et al.* [2008] were
313 limited to a fraction of a day. Nevertheless, this effect may contribute to some of the
314 smaller deviations evident in Figures 3 through 5.

6. Summary

315 In this study, we have applied a cross-correlation analysis to ACE, STEREO A, and B
 316 bulk solar wind velocity measurements for the period from STEREO's launch through mid
 317 2009. We found that, as with previous studies [*Podesta et al.*, 2008; *Opitz et al.*, 2009],
 318 there is a general trend for the phase lag between the streams to increase within increasing
 319 separation of the spacecraft. We also identified two intervals that deviated significantly
 320 from this trend. The first, centered around CR 2060, was previously identified by *Opitz*
 321 *et al.* [2009]. We used global MHD simulation results to understand these "lulls" in terms
 322 of both temporal evolution of the streams, as they swept first past STEREO B, then ACE,
 323 and finally past STEREO A, as well as spatial inhomogeneities, such that the spacecraft,
 324 separated in latitude by up to $\sim 14^\circ$ sampled different portions of the streams. Finally,
 325 beyond a separation of $\sim 77/36/30^\circ$, between STEREO A-B/STEREO A-ACE/ACE-
 326 STEREO-B, corresponding to an interval of approximately ~ 1.6 years, the CCF peaked
 327 at values < 0.5 , suggesting that from this point, correlation analysis must be applied and
 328 interpreted with considerably more caution.

329 **Acknowledgments.** PR, ZM, and JAL gratefully acknowledge the support of the LWS
 330 Strategic Capabilities Program (NASA, NSF, and AFOSR), the NSF Center for Inte-
 331 grated Space Weather Modeling (CISM), NASA's Heliophysics Theory Program (HTP)
 332 and the NASA STEREO IMPACT and SECCHI teams. We thank the SWEPAM/ACE
 333 and PLASTIC/STEREO teams for providing data.

Notes

1. The algorithm used to compute this function is available as part of the Interactive Data Language (IDL) numerical
 334 package (`c_correlate.pro` in the main library directory).

References

- 335 Detman, T. R., M. Dryer, T. Yeh, S. M. Han, and S. T. Wu, A time-dependent, three-
 336 dimensional MHD numerical study of interplanetary magnetic draping around plasmoids
 337 in the solar wind, *J. Geophys. Res.*, *96*, 9531–9540, doi:10.1029/91JA00443, 1991.
- 338 Dryer, M., Z. K. Smith, E. J. Smith, J. D. Mihalov, J. H. Wolfe, R. S. Steinolfson,
 339 and S. T. Wu, Dynamic MHD modeling of solar wind corotating stream interac-
 340 tion regions observed by Pioneer 10 and 11, *J. Geophys. Res.*, *83*, 4347–4352, doi:
 341 10.1029/JA083iA09p04347, 1978.
- 342 Endler, F., Wechselwirkung zwischen Sonnenwind und koronalen Magnetfeldern, *Mit-*
 343 *teilungen der Astronomischen Gesellschaft Hamburg*, *30*, 136–+, 1971.
- 344 Galvin, A. B., et al., The Plasma and Suprathermal Ion Composition (PLASTIC)
 345 Investigation on the STEREO Observatories, *Space Sci. Rev.*, *136*, 437–486, doi:
 346 10.1007/s11214-007-9296-x, 2008.
- 347 Gosling, J. T., and S. J. Bame, Solar-wind speed variations 1964 - 1967: An autocorrela-
 348 tion analysis., *J. Geophys. Res.*, *77*, 12–26, doi:10.1029/JA077i001p00012, 1972.
- 349 Gosling, J. T., J. R. Asbridge, S. J. Bame, and W. C. Feldman, Solar wind speed variations
 350 - 1962-1974, *J. Geophys. Res.*, *81*, 5061–5070, doi:10.1029/JA081i028p05061, 1976.
- 351 Lee, C. O., et al., Manifestations of solar differential rotation in the solar wind: An update,
 352 *AGU Spring Meeting Abstracts*, pp. A2+, 2008.
- 353 Linker, J. A., and Z. Mikić, Extending coronal models to earth orbit, *Coronal Mass*
 354 *Ejections*, *99*, 269, edited by N. Crooker, J. Joselyn, and J. Feynmann, p. 269, AGU,
 355 Washington, D. C., 1997.

- 356 Linker, J. A., G. van Hoven, and D. D. Schnack, A three-dimensional simulation of a
357 coronal streamer, *Geophys. Res. Lett.*, *17*, 2281–2284, doi:10.1029/GL017i013p02281,
358 1990.
- 359 Linker, J. A., R. Lionello, Z. Mikić, and T. Amari, Magnetohydrodynamic modeling of
360 prominence formation within a helmet streamer, *J. Geophys. Res.*, *106*(A11), 25,165,
361 2001.
- 362 Linker, J. A., et al., Magnetohydrodynamic modeling of the solar corona during whole
363 sun month, *J. Geophys. Res.*, *104*(A5), 9809, 1999.
- 364 Lionello, R., Z. Mikić, and J. A. Linker, Stability of algorithms for waves with large flows,
365 *J. Comp. Phys.*, *152*(1), 346, 1999.
- 366 Lionello, R., J. A. Linker, and Z. Mikić, Including the transition region in models of the
367 large-scale solar corona, *Ap. J.*, *546*(1), 542, 2001.
- 368 Lionello, R., J. A. Linker, and Z. Mikić, Multispectral Emission of the Sun During the
369 First Whole Sun Month: Magnetohydrodynamic Simulations, *Ap. J.*, *690*, 902–912,
370 doi:10.1088/0004-637X/690/1/902, 2009.
- 371 Manchester, W. B., A. J. Ridley, T. I. Gombosi, and D. L. Dezeeuw, Modeling the Sun-
372 to-Earth propagation of a very fast CME, *Advances in Space Research*, *38*, 253–262,
373 doi:10.1016/j.asr.2005.09.044, 2006.
- 374 McComas, D. J., S. J. Bame, P. Barker, W. C. Feldman, J. L. Phillips, P. Riley, and
375 J. W. Griffée, Solar wind electron proton alpha monitor (swepam) for the advanced
376 composition explorer, *Space Sci. Rev.*, *86*(1/4), 563, 1998.
- 377 Mikić, Z., and J. A. Linker, Disruption of coronal magnetic field arcades, *Ap. J.*, *430*, 898,
378 1994.

- 379 Mikic, Z., J. A. Linker, and J. A. Colborn, An MHD Model of the Solar Corona and Solar
380 Wind, *BAAS*, *28*, 868–+, 1996.
- 381 Mikić, Z., J. A. Linker, D. D. Schnack, R. Lionello, and A. Tarditi, Magnetohydrodynamic
382 modeling of the global solar corona, *Phys. Plasmas*, *6*(5), 2217, 1999.
- 383 Mikic, Z., J. A. Linker, R. Lionello, and P. Riley, Predicting the Structure of the Solar
384 Corona During the December 4, 2002 Total Solar Eclipse, *AGU Fall Meeting Abstracts*,
385 pp. A468+, 2002.
- 386 Mikić, Z., J. A. Linker, R. Lionello, P. Riley, and V. Titov, Predicting the Structure of
387 the Solar Corona for the Total Solar Eclipse of March 29, 2006, in *Solar and Stellar*
388 *Physics Through Eclipses, Astronomical Society of the Pacific Conference Series*, vol.
389 370, edited by O. Demircan, S. O. Selam, and B. Albayrak, pp. 299–+, 2007.
- 390 Odstrcil, D., Interactions of solar wind streams and related small structures, *J. Geophys.*
391 *Res.*, *99*(A9), 17,653, 1994.
- 392 Odstrcil, D., J. A. Linker, R. Lionello, Z. Mikić, P. Riley, V. J. Pizzo, and J. G. Luh-
393 mann, Merging of coronal and heliospheric numerical 2-d mhd models, *J. Geophys. Res.*,
394 *107*(A12), DOI 10.1029/2002JA009,334, 2002.
- 395 Odstrcil, D., V. J. Pizzo, J. A. Linker, P. Riley, R. Lionello, and Z. Mikic, Initial coupling
396 of coronal and heliospheric numerical magnetohydrodynamic codes, *JASTP*, *66*, 1311–
397 1320, 2004.
- 398 Opitz, A., et al., Temporal Evolution of the Solar Wind Bulk Velocity at Solar Minimum
399 by Correlating the STEREO A and B PLASTIC Measurements, *Sol. Phys.*, *256*, 365–
400 377, doi:10.1007/s11207-008-9304-7, 2009.

- 401 Paularena, K. I., G. N. Zastenker, A. J. Lazarus, and P. A. Dalin, Solar wind plasma
402 correlations between IMP 8, INTERBALL-1, and WIND, *J. Geophys. Res.*, *103*, 14,601–
403 14,618, doi:10.1029/98JA00660, 1998.
- 404 Pizzo, V., A three-dimensional model of corotating streams in the solar wind. I - Theo-
405 retical foundations, *J. Geophys. Res.*, *83*, 5563–5572, 1978.
- 406 Pneuman, G. W., and R. A. Kopp, Gas-Magnetic Field Interactions in the Solar Corona,
407 *Sol. Phys.*, *18*, 258–+, 1971.
- 408 Podesta, J. J., A. B. Galvin, and C. J. Farrugia, Correlation length of large-scale solar
409 wind velocity fluctuations measured tangent to the Earth’s orbit: First results from
410 Stereo, *J. Geophys. Res.*, *113*, 9104–+, doi:10.1029/2007JA012865, 2008.
- 411 Richardson, J. D., F. Dashevskiy, and K. I. Paularena, Solar wind plasma correlations
412 between L1 and Earth, *J. Geophys. Res.*, *103*, 14,619–14,630, doi:10.1029/98JA00675,
413 1998.
- 414 Riley, P., Modeling corotating interaction regions: From the Sun to 1 AU, *JASTP*, *69*,
415 32–42, doi:10.1016/j.jastp.2006.06.008, 2007.
- 416 Riley, P., J. T. Gosling, L. A. Weiss, and V. J. Pizzo, The tilts of corotating interaction
417 regions at midheliographic latitudes, *J. Geophys. Res.*, *101*(A11), 24,349, 1996.
- 418 Riley, P., J. A. Linker, and Z. Mikić, An empirically-driven global mhd model of the
419 corona and inner heliosphere, *J. Geophys. Res.*, *106*(A8), 15,889, 2001a.
- 420 Riley, P., J. A. Linker, Z. Mikić, and R. Lionello, Mhd modeling of the solar corona
421 and inner heliosphere: Comparison with observations, in *Space Weather, Geophysical*
422 *Monograph Series*, vol. 125, edited by P. Song, H. J. Singer, and G. L. Siscoe, p. 159,
423 AGU, Washington, DC, 2001b.

- 424 Riley, P., J. A. Linker, and Z. Mikić, Modeling the heliospheric current sheet: Solar cycle
425 variations, *J. Geophys. Res.*, *107*(A7), DOI 10.1029/2001JA000,299, 2002.
- 426 Riley, P., Z. Mikić, and J. A. Linker, Dynamical evolution of the inner heliosphere ap-
427 proaching solar activity maximum: Interpreting ulysses observations using a global mhd
428 model, *Ann. Geophys.*, *21*, 1347, 2003.
- 429 Riley, P., R. Lionello, Z. Mikić, J. Linker, E. Clark, J. Lin, and Y.-K. Ko, “Bursty”
430 Reconnection Following Solar Eruptions: MHD Simulations and Comparison with Ob-
431 servations, *Ap. J.*, *655*, 591–597, doi:10.1086/509913, 2007.
- 432 Riley, P., Z. Mikic, J. A. Linker, J. Harvey, T. Hoeksema, Y. Liu, R. Ulrich, and
433 L. Bertello, A Multi-Observatory Inter-Calibration of Line-of-Sight Diachronic Solar
434 Magnetograms and Implications for the Open Flux of the Heliosphere, *Submitted to*
435 *Ap. J.*, 2010.
- 436 Rouillard, A. P., et al., A Multispacecraft Analysis of a Small-Scale Transient Entrained
437 by Solar Wind Streams, *Sol. Phys.*, *256*, 307–326, doi:10.1007/s11207-009-9329-6, 2009.
- 438 Roussev, I. I., T. I. Gombosi, I. V. Sokolov, M. Velli, W. Manchester, D. L. DeZeeuw,
439 P. Liewer, G. Tóth, and J. Luhmann, A Three-dimensional Model of the Solar Wind
440 Incorporating Solar Magnetogram Observations, *Ap. J. Lett.*, *595*, L57–L61, 2003.
- 441 Smith, Z., and M. Dryer, Mhd study of temporal and spatial evolution of simulated
442 interplanetary shocks in the ecliptic-plane within 1 au, *Sol. Phys.*, *129*, 387, 1990.
- 443 Snodgrass, H. B., Magnetic rotation of the solar photosphere, *Ap. J.*, *270*, 288–299, doi:
444 10.1086/161121, 1983.
- 445 Steinolfson, R. S., S. T. Suess, and S. T. Wu, The steady global corona, *Ap. J.*, *255*,
446 730–742, doi:10.1086/159872, 1982.

447 Stone, E. C., A. M. Frandsen, R. A. Mewaldt, E. R. Christian, D. Margolies, J. F. Ormes,
448 and F. Snow, The Advanced Composition Explorer, *Space Science Reviews*, 86, 1–22,
449 doi:10.1023/A:1005082526237, 1998.

450 Usmanov, A. V., A global numerical 3-D MHD model of the solar wind, *Sol. Phys.*, 146,
451 377–396, doi:10.1007/BF00662021, 1993.

452 Usmanov, A. V., A global 3-d mhd solar wind model with alfvén waves, *International*
453 *Solar Wind 8 Conference*, 382, 141, 1996.

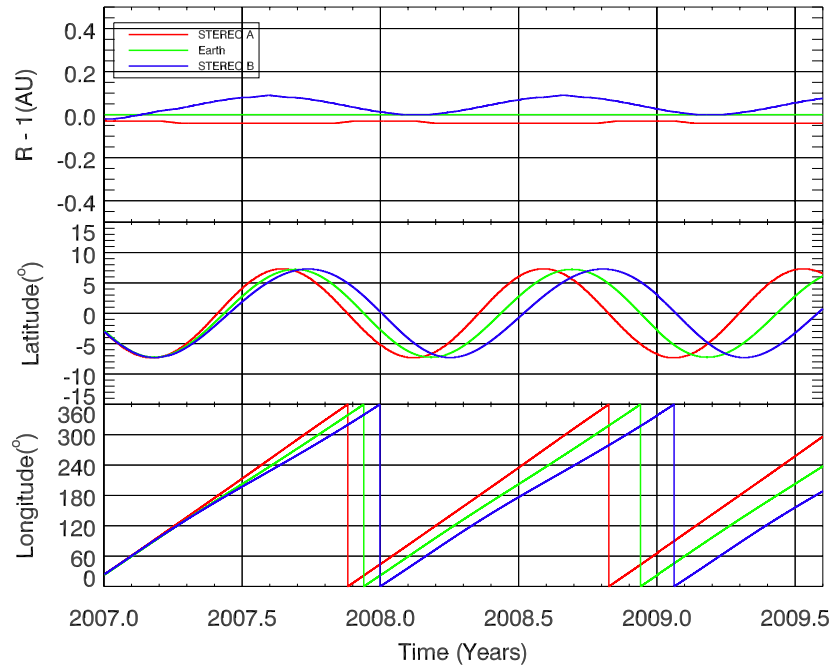


Figure 1. Ephemeris data for the ACE and STEREO spacecraft. In each panel, the red curve corresponds to the location of STEREO A, the blue curve to the location of STEREO B, and the green curve to the location of ACE. (Top) The heliocentric location of the spacecraft, plotted relative to 1 AU; (Middle) The heliographic latitude of the spacecraft; and (Bottom) the heliographic, inertial longitude of the spacecraft.

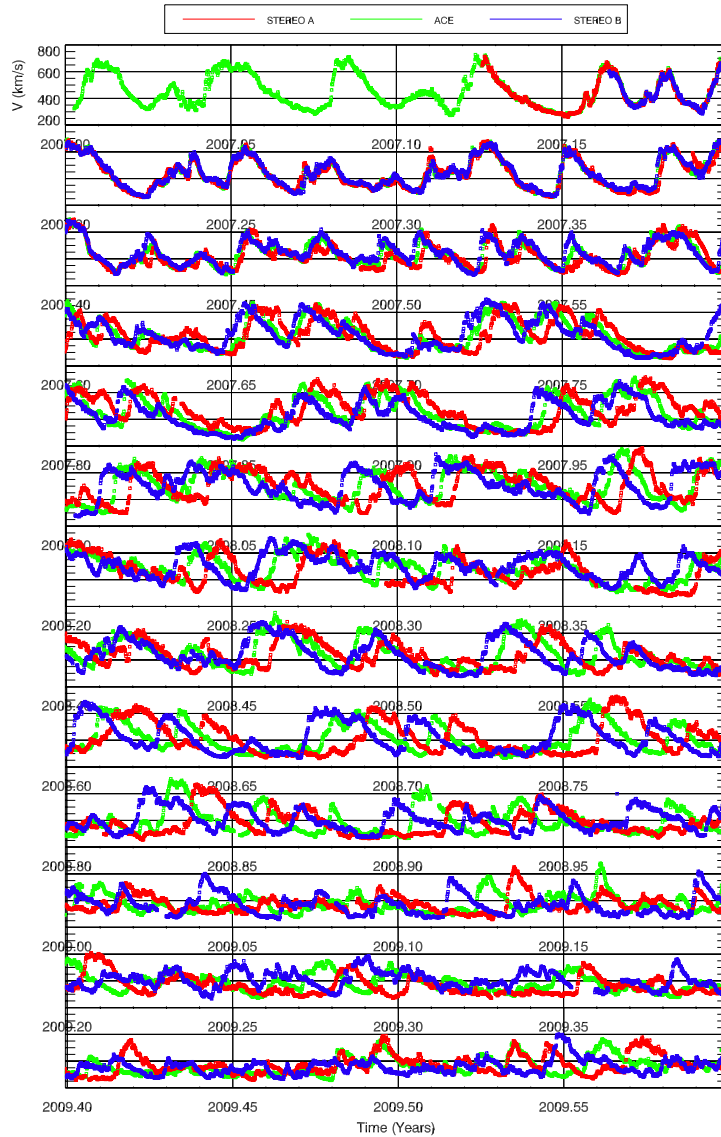


Figure 2. Bulk solar wind speed from 2007.0 (top) through 2009.5 (bottom). Green, red, and blue correspond to ACE, STEREO A, and STEREO B, respectively. A movie illustrating the evolution of these streams can be viewed/downloaded at <http://www.predsci.com/stereo/movies/>.

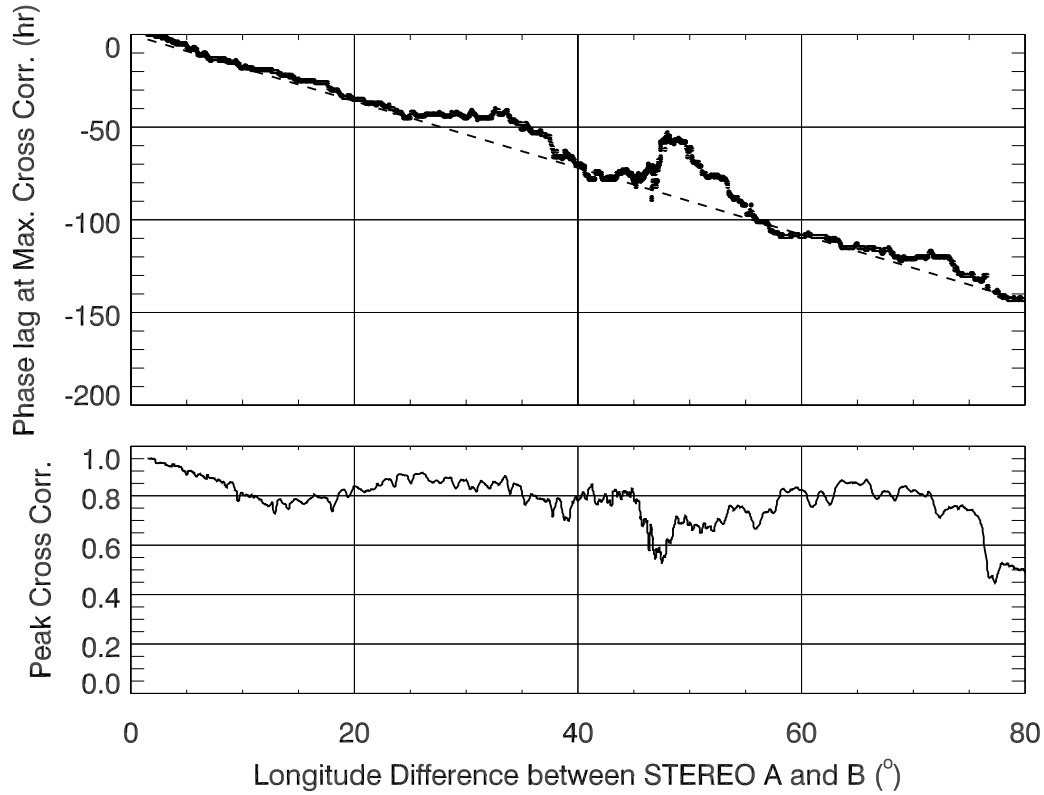


Figure 3. (Top) The temporal phase lag that maximizes the cross correlation function (CCF) between the solar wind velocities measured at STEREO B and A, plotted as a function of longitudinal separation of the spacecraft. (Bottom) The correlation coefficient corresponding to the phase lag in the plot above.

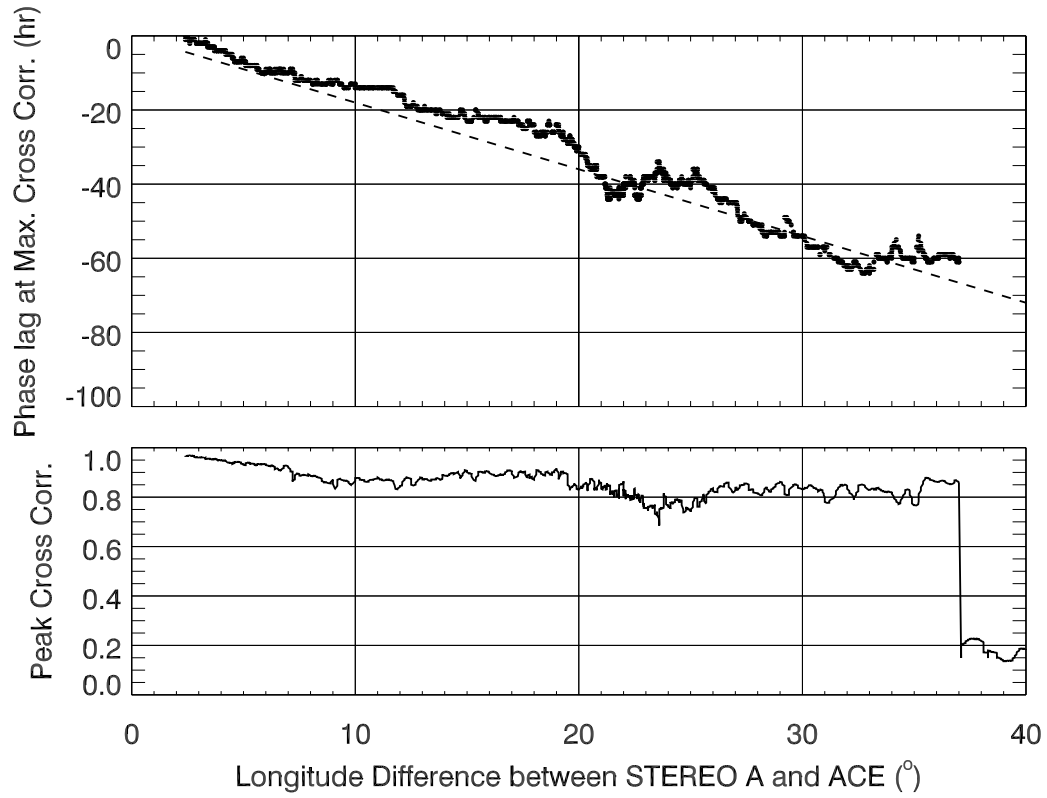


Figure 4. As Figure 3, but for ACE and STEREO A. Note that the scales for the abscissa (top and bottom) and ordinate (top) span half the range of those in Figure 3.

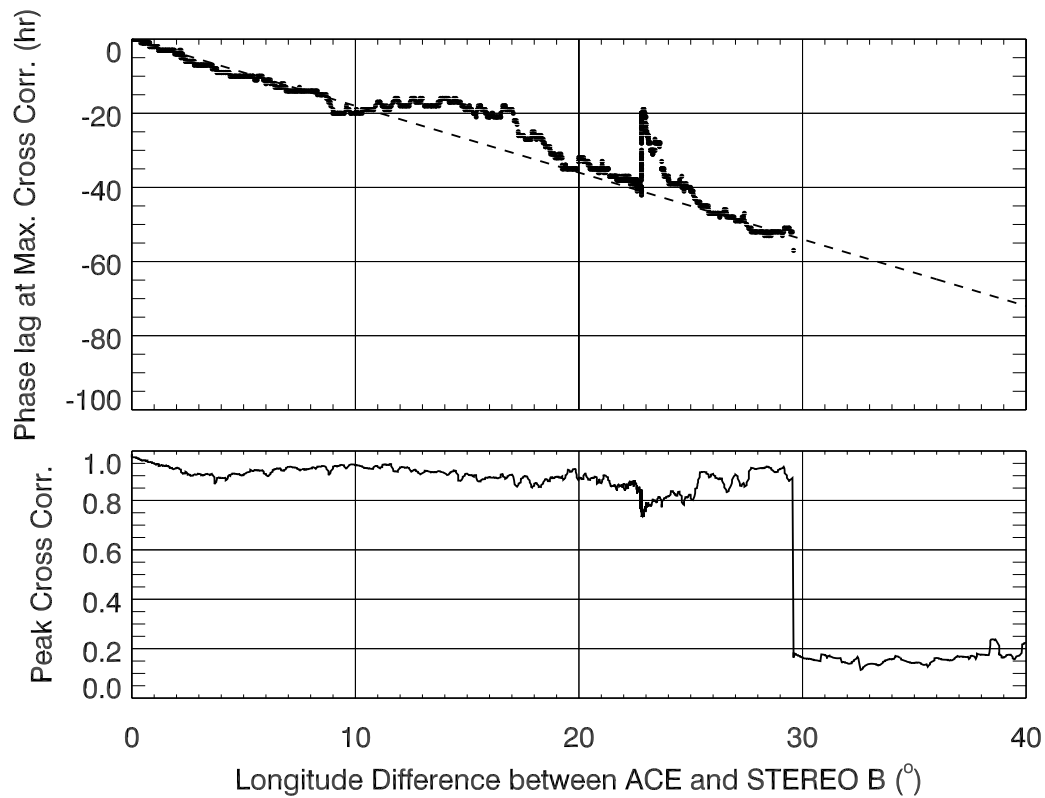


Figure 5. As Figure 3, but for STEREO B and ACE.

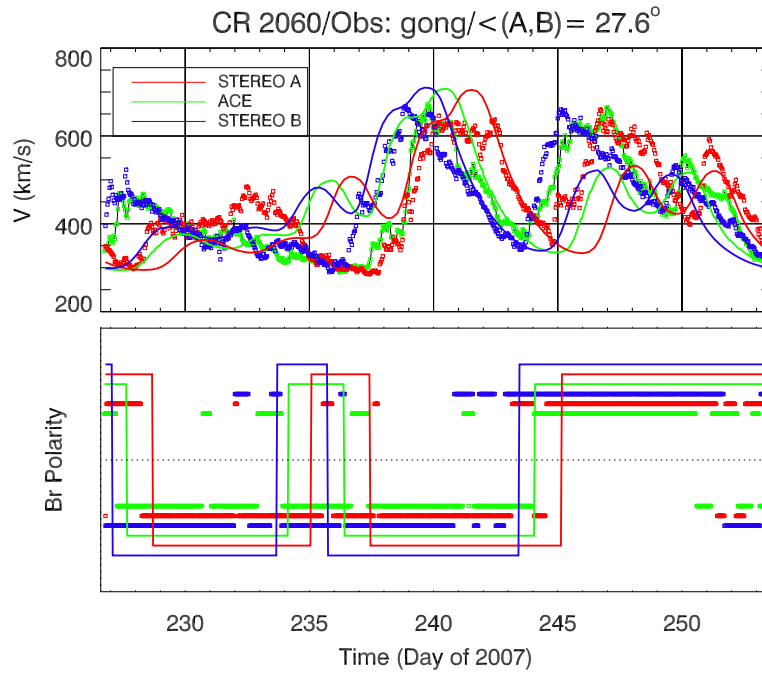


Figure 6. Comparison of model results with (Top) *in situ* speed and (Bottom) radial IMF polarity for Carrington rotation (CR) 2060. The solid lines are model results and the symbols are *in situ* measurements from ACE (green), STEREO A (red), and STEREO B (blue). The amplitude of polarities have been adjusted to more easily show the variations at each spacecraft; there is no physical significance, however, to them.

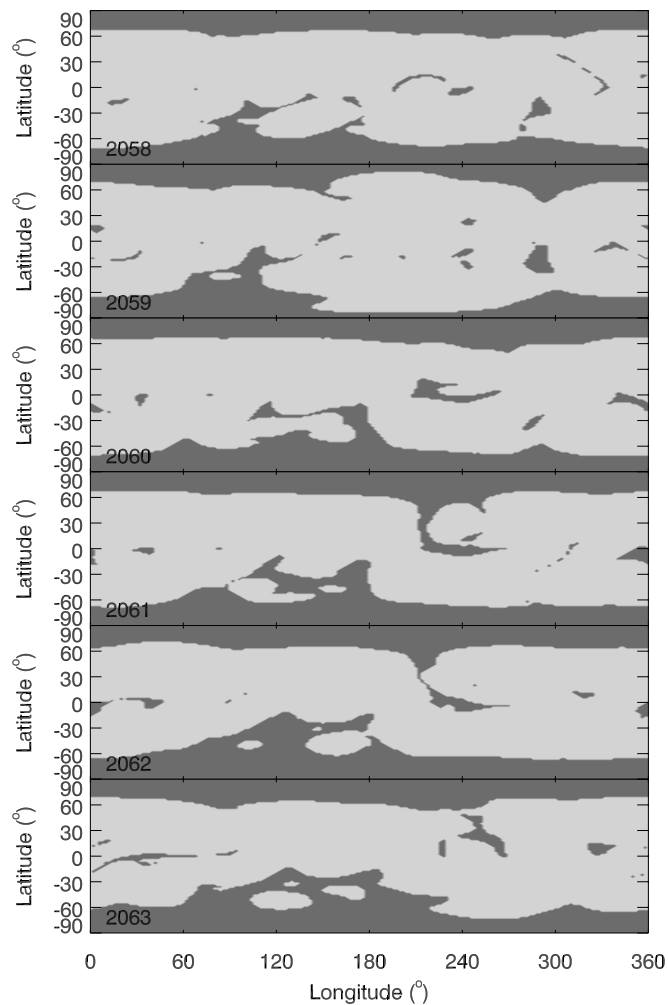


Figure 7. The computed coronal holes for CRs 2058 through 2063. These were obtained by tracing magnetic field lines outward from the photosphere and into the heliosphere. If the field line returned to the photosphere, it was labeled “closed” and shaded light grey, whereas if it reached the outer radial boundary of the simulation domain, it was labeled “open” and shaded dark grey.

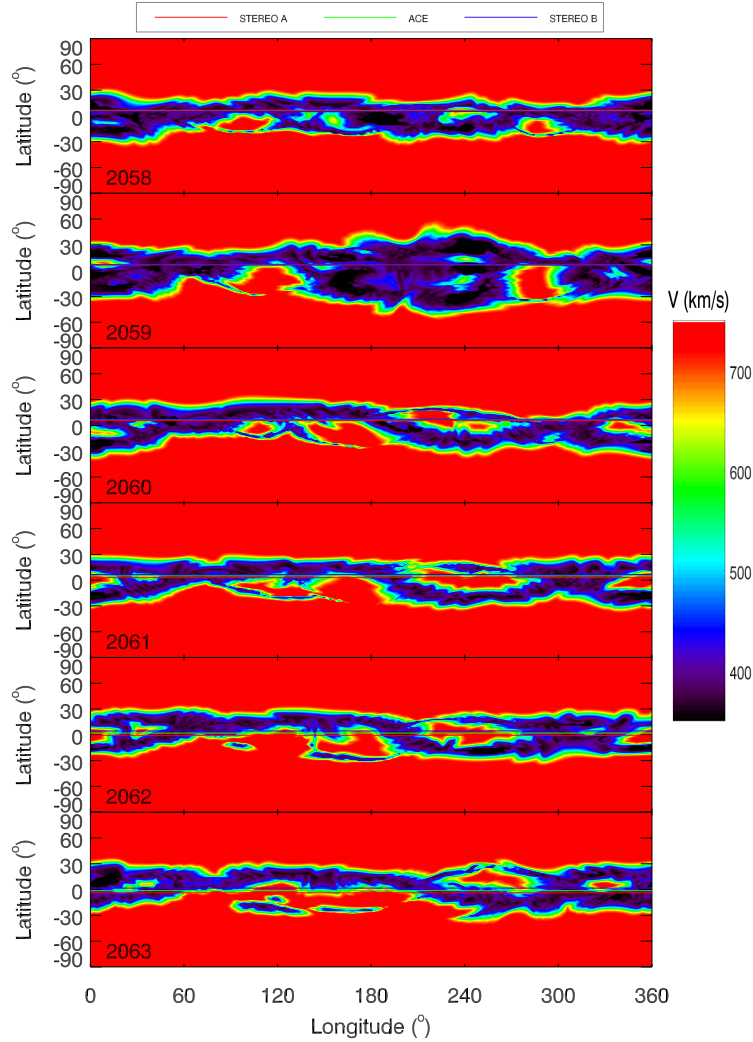


Figure 8. The computed radial solar wind velocities for CRs 2058 through 2063. These were obtained by mapping a photospheric velocity profile (see *Riley et al.* [2001a] for details) outward along open field lines to $30R_S$. Red corresponds to $\sim 750 \text{ km s}^{-1}$, while black corresponds to $\sim 350 \text{ km s}^{-1}$.

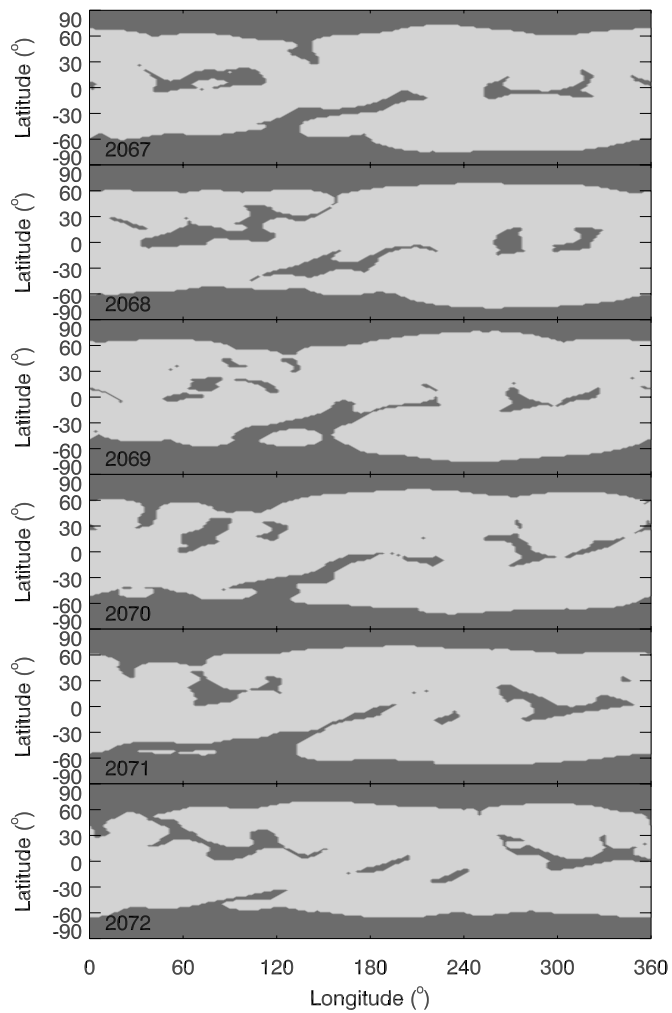


Figure 9. As Figure 7 for CRs 2067 through 2072.

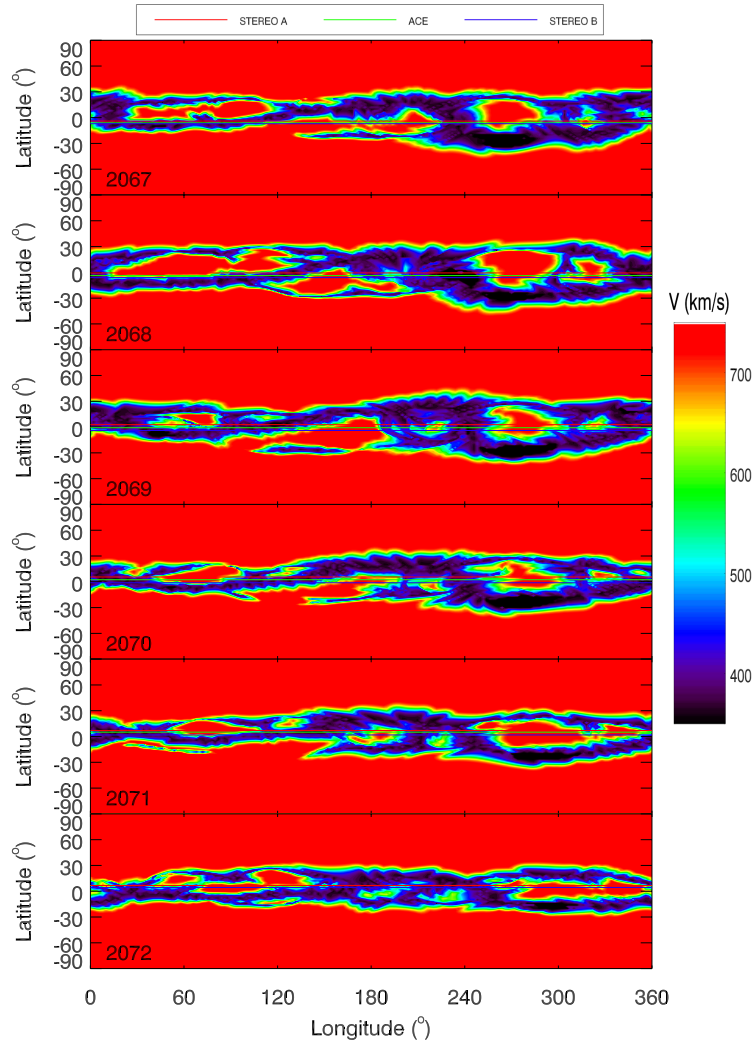


Figure 10. As Figure 8 for CRs 2067 through 2072.

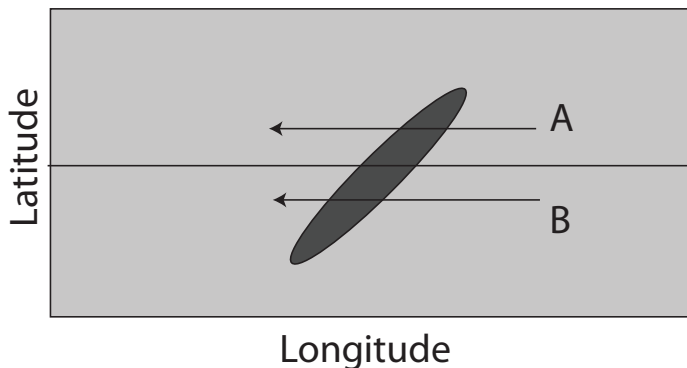


Figure 11. Schematic illustration of how the orientation of a coronal hole can affect the phase lag between two spacecraft, say STEREO A and B. Their trajectory through the coronal hole are marked by horizontal arrows.

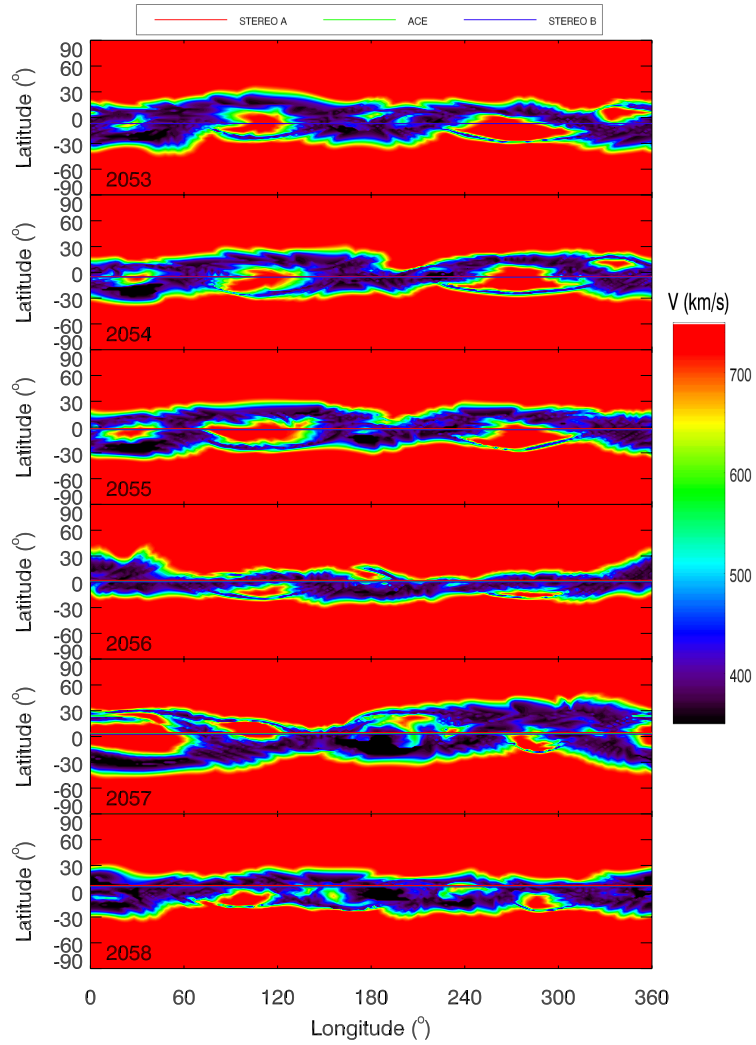


Figure 12. As Figure 8 for CRs 2053 through 2058.