



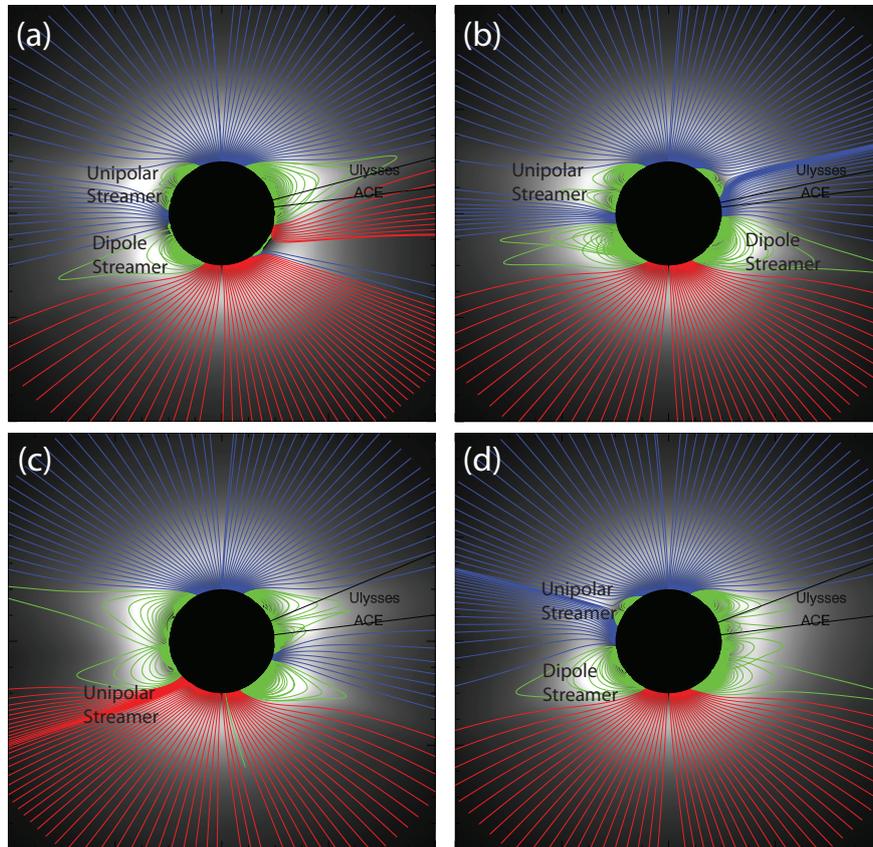
## 1. Introduction

The recent and prolonged solar minimum occurring at the end of solar cycle 23 (December, 2009) has provided a unique opportunity to investigate the Sun and its extended atmosphere (the heliosphere) under pristine, quiescent conditions. In contrast to the previous minimum (September, 1996) the structure of the corona was punctuated by the ubiquitous presence of “unipolar” helmet streamers (Hundhausen, 1972), also known as pseudo-streamers (Wang, Sheeley, and Rich, 2007). Whereas the standard helmet streamer, or “dipolar” streamer bridges between coronal holes of opposite polarity, unipolar streamers separate coronal holes of the same magnetic polarity. To accomplish this, two loops are embedded within them. Importantly, while dipolar streamers culminate in stalks with an embedded current sheet, no current sheet is embedded within unipolar streamers since the field lines on either side of the stalk have the same polarity. Figure 1 shows a selection of views for Carrington rotation (CR) 2060 illustrating these principles. These views illustrate the general features of the solar corona surrounding this period. Simulated white light images, obtained by integrating the model density along the line of sight with an appropriate weighting function for electron scattering of light, are displayed together with a selection of field lines equally spaced in latitude. Closed field lines are colored green while open field lines that are directed away from the Sun are colored red and those directed toward the Sun are colored blue. A selection of dipolar and unipolar streamers are indicated, as is the latitude of the Ulysses and ACE spacecraft at these times. Although most of the unipolar streamers can be identified by the double loop structure under the streamer, note that the one in panel (c) cannot be resolved this way, at least on this scale. Instead, the closely-spaced field lines alerts us to its presence, suggesting a very small expansion factor.

Identifying and interpreting interplanetary signatures of phenomena observed in the corona can be challenging, complicated by the fact that the plasma undergoes significant evolution as it travels from the solar surface to 1 AU (or beyond). In the absence of obviously transient phenomena such as coronal mass ejecta, three quasi-corotating features in the solar wind are germane to this study: The stream interface (SI), the heliospheric current sheet (HCS), and the heliospheric plasma sheet (HPS), all of which have been associated with features or processes originating in the corona.

The SI is simply a boundary that separates what was originally slow and dense solar wind with what was fast, tenuous wind (Sonett and Colburn, 1965). Although this boundary can exist at the trailing edge of high-speed streams, that is, where fast solar wind outruns slower wind behind it, the term is usually used to refer to the leading edge of high-speed streams where fast wind runs into slower wind ahead, compressing and accelerating it (Gosling *et al.*, 1978).

The HCS is the extension of the neutral line in the corona identifying the boundary between outwardly-directed and inwardly-directed heliospheric magnetic field lines. Although its presence is often associated with SIs (Gosling *et al.*, 1978), with the HCS preceding the SI by a day or so, they are only loosely related, and, as we will see, during times when unipolar streamers are present (Wang,



**Figure 1.** A selection of meridional slices from a global MHD simulation of CR 2060, which occurred between 14 August 2007 and 10 September 2007. Grey-scale images are Simulated polarized brightness ( $pB$ ) images and the colored lines are magnetic field lines drawn from equally-spaced points in latitude on the solar surface. The field lines have been color-coded so that blue/red lines are field lines that open into the heliosphere and are inwardly/outwardly directed, while green field lines connect back to the Sun at both ends, *i.e.*, they are closed field lines.

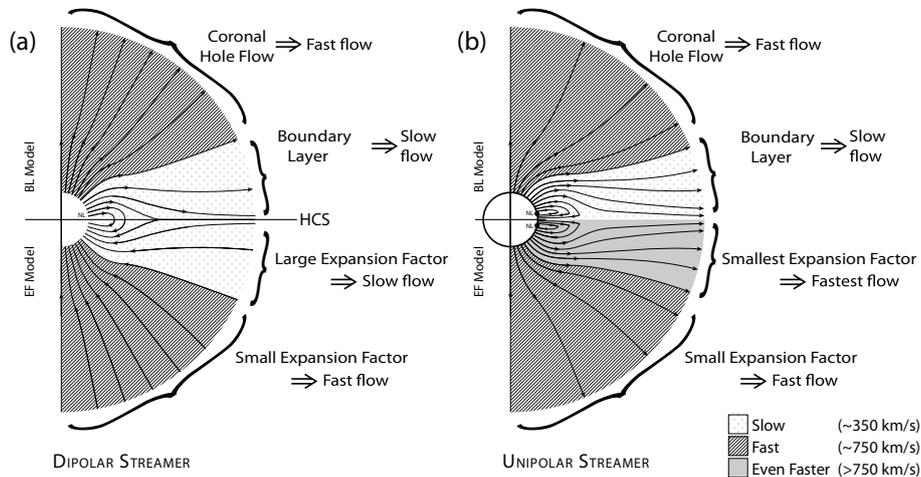
Sheeley, and Rich, 2007; Wang *et al.*, 2010), it is quite possible for SIs to exist in the absence of an HCS crossing.

Finally, the HPS is a region surrounding the HCS of enhanced density but depressed magnetic field strength (Winterhalter *et al.*, 1994). Thus it is a region of significantly enhanced plasma  $\beta$ , where  $\beta$  is the ratio of thermal plasma pressure to magnetic pressure. The thickness of the HCS has been estimated to be approximately 320,000 km (Winterhalter *et al.*, 1994; Gosling *et al.*, 1981), and typically occurs within regions of slow wind (that is, they are not associated with stream interactions). Gosling *et al.* (1981) mapped these events back to the Sun finding a strong association with coronal streamers. Thus, it is expected that there should be a relatively strong connection between HCSs and HPSs. Winterhalter *et al.* (1994) found that the latter are almost always present when the former are observed (although Crooker *et al.* (2004a) found that approxi-

mately half of the HCs analyzed were embedded in an HPS). On the other hand, it is possible for HPSs to be observed in the absence of HCSs. Neugebauer *et al.* (2004), for example, identified consecutive fast solar wind streams of the same polarity. They found that the interaction region between the two had many of the same features as intervals between streams that contained a sector boundary. In particular, quantities not expected to evolve with stream dynamics, such as helium abundances and heavy ion charge states, were not substantially different from their HCS-related counterparts. They did, however, find some differences in their dynamical properties: non-HCS regions were shorter in duration, had a higher minimum speed, and lower peak and mean densities. They found no obvious correlation between these intervals and coronal streamers.

The origin of the solar wind, and particularly the slow solar wind has remained elusive since the two basic properties of the wind were first measured in 1962 (Neugebauer and Snyder, 1962). While the fast solar wind is thought to originate from within coronal holes, we cannot point to a definitive location or basic physical mechanism for producing the slow solar wind. Although it has been long known that the slow, and variable solar wind is associated with the edges of coronal streamers (Gosling *et al.*, 1981), as yet, we have not been able to narrow it down further, at least in a way that the scientific community agrees upon. Two distinct ideas on its origin have arisen. (Of course, there are more models and even finer classifications of slow solar wind, but for simplicity, we limit our discussion to these two ideas). The first, which we will call the “expansion factor” (EF) model, relies on the geometrical properties of groups of the fields lines, or flux tubes as they expand into the heliosphere. In analogy with Bernoulli flow (and this is strictly an analogy - the Bernoulli effect is much too small to account for the difference in speed between the slow and fast wind), flow along flux tubes that expand the most leads to the slow solar wind, whereas flow along flux tubes that expand only modestly produce fast wind. It turns out that the expansion factor is smallest deep within coronal holes and largest adjacent to dipolar streamers (Wang and Sheeley, 1990). Crucially, Wang, Sheeley, and Rich (2007) argued that the expansion factor associated with field lines near unipolar streamers is very small (sometimes as low as 1), leading them to predict that solar wind from unipolar streamers would be fast. Although the EF model was originally conceived because of an observed inverse correlation between expansion factor and measured solar wind speed at 1 AU, over the years, a theoretical basis for explaining how expansion factor can modulate not just speed, but density, composition, and charge state has been developed (Wang and Sheeley, 2003; Cranmer, van Ballegooijen, and Edgar, 2007; Wang, Ko, and Grappin, 2009; Cranmer, 2010).

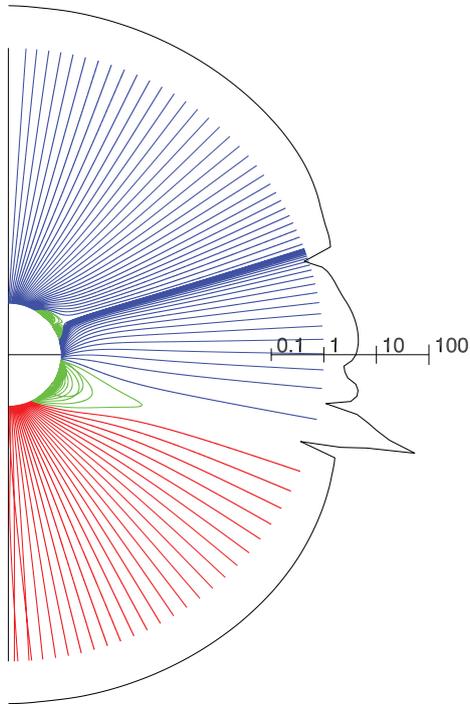
A competing concept for the origin of the slow solar wind relies on the idea that it originates from within a boundary layer (BL) adjacent to the coronal streamers. The leading idea for generating such a boundary layer is a process of magnetic reconnection between open and closed field lines at coronal hole boundaries, although other ideas, such as Kelvin-Helmholtz instabilities have been considered (Suess *et al.*, 2009). A principal strength of such a model lies in its intrinsic ability to account for the difference in composition and abundance states of the slow and fast wind, which are sufficiently different that they imply



**Figure 2.** An illustration of the salient features of the EF and BL models for: (a) a dipolar streamer and (b) a unipolar streamer.

distinct origins for slow and fast wind. Moreover, the composition and charge states measured in the slow wind are quite close to those measured within coronal streamers (*e.g.*, Uzzo *et al.* (2003)). Since it is apparently necessary for an open magnetic field line to reconnect with one of the streamer loops, the term “interchange reconnection” has arisen to describe the way a closed field line opens while the open field line closes (*e.g.*, Crooker *et al.* (2004b)). While we generically refer to all incarnations of such models as interchange reconnection, we emphasize that the concepts behind them can be quite different (Wang, Hawley, and Sheeley, 1996; Fisk, 1996; Antiochos *et al.*, 2011). The main point, however, is that the boundary between open and closed field lines provides an environment for reconnection to take place. And, in particular, it is not sensitive to whether there are one, two, or even three arcades underneath the streamer structure. Previously, we used a global MHD simulation to demonstrate how differential rotation could drive reconnection at the boundary of coronal streamers (Lionello *et al.*, 2005), substantiating the findings of Wang, Hawley, and Sheeley (1996). Additionally, we showed that the regions where closed magnetic loops reconnect with open field lines may not be distributed uniformly along the boundaries of coronal holes; they are concentrated on the eastward borders of streamers, which may or may not be related to the studies by Suess *et al.* (2009) and Liu *et al.* (2010), who showed that tracers of composition (*e.g.*, He/H, and  $O^{6+}/H$ ) are preferentially located to one side of the HCS. For the purposes of this study, however, it is sufficient to limit our delineation between EF and BL models.

Figure 2 illustrates the basic features and predictions of the EF and BL models for both dipolar (left) and unipolar (right) streamer geometries. For dipolar streamers, both models predict slow solar wind on either side of the HCS. The width of the slow-flow band is determined in the BL model by the details of where the reconnection is taking place, or the scale over which an instability is occurring, but is presumably limited to some distance away from the closed



**Figure 3.** Expansion factor (at  $30R_{\odot}$ , solid line) is plotted logarithmically as a function of latitude and compared with a set of magnetic field lines drawn out to  $6R_{\odot}$  for CR 2060 at  $215^{\circ}$  longitude.

loops. The boundary of the slow-flow band in the EF model is determined by an interesting property of the time-independent Parker equations, namely, that for rapidly expanding flux tubes, there may be more than one location for the critical point, the most stable of which is that one furthest from the Sun (Cranmer, van Ballegoijen, and Edgar, 2007).

Figure 2(b) illustrates the situation for the case of a unipolar streamer. The concepts for the generation of slow solar wind under the BL model are unaltered: Reconnection, for example, still occurs between open and closed field lines. (Of course it remains to be demonstrated how reconnection can occur within a geometry where all field lines are apparently pointing in the same direction). However, the situation now changes within the EF scenario. Here, the structure associated with the double loops defining the unipolar streamer promote expansion factors that can be as low as one (see Figure 4). Under such conditions, the EF model would be expected to produce speeds even higher than in the fast solar wind ( $> 750\text{km s}^{-1}$ ).

The areal expansion factor,  $f_s$ , can be defined (Wang and Sheeley, 1997) as:

$$f_s = \left( \frac{R_{\odot}}{R_1} \right)^2 \frac{B_r(R_{\odot}, \theta_o, \phi_o)}{B_r(r_1, \theta_1, \phi_1)}. \quad (1)$$

This expression relates the amount by which a flux tube expands from one location  $(r_o, \theta_o, \phi_o)$ , say at the solar surface ( $r_o = R_S$ ) to another, higher up. Typically, the source surface ( $\approx 2.5R_\odot$ ) is used for potential field source surface (PFSS) models, and the outer boundary of the simulation region ( $20 - 30R_\odot$ ) is used for MHD models, the main issue usually being that the field lines are fully radial by this height. This expansion factor is above and beyond the field expansion that would occur for a monopole field ( $f_s \sim 1/r^2$ ). To better understand the relationship between streamer structure and expansion factor, in Figure 3 we compare expansion factor with a trace of field lines at  $215^\circ$  Longitude for CR 2060. Although the field lines are only traced out to  $6R_\odot$ , by this distance, they are essentially radial. The expansion factor (which, practically speaking, is computed by tracing along each field line from the outer boundary of the simulation ( $30R_\odot$ ) back to the solar surface ( $1R_\odot$ )) is plotted as a logarithmic polar profile to emphasize the wide variation in values ( $0.65 - 93$  for this meridional slice). From this comparison we note several interesting points. First, as the cartoon in Figure 2 illustrated, small expansion factors are associated with unipolar streamers and large expansion factors are associated with dipolar streamers. Second, at least within this geometry, the expansion factors are asymmetric with respect to the inferred centroid (or stalk) of the streamer structure (the HCS in the case of the dipolar streamer and a quasi-radial trace from the spline of the unipolar streamer). The expansion factor is largest equatorward of the dipolar streamer and smallest equatorward of the unipolar streamer. Third, regions of low expansion factor ( $< 1$ ) bound the large expansion factor associated with the dipolar streamer, with the lowest values occurring at the current sheet.

The basic features of both the BL and EF models are reflected in two empirically-based models for computing the speed of the solar wind in the heliosphere. (Of course, in reality, the empirically-based models preceded and perhaps even motivated the subsequent theoretical ideas). First, the original Wang-Sheeley (WS) model (Wang and Sheeley, 1990) uses an observed negative correlation between solar wind speed and the super-radial expansion of the solar magnetic field. Second, PSI's "Distance from the Coronal Hole Boundary" (DCHB) model (Riley et al., 2001) specifies speed at the base of the corona as a function of the perpendicular distance from the coronal hole boundary and maps this speed out along field lines to  $30R_\odot$ . In effect, we consider a "boundary layer" adjacent to the last closed streamer field line that is where the reconnection takes place, opening up the streamer field lines.

Although the derivation of solar wind speed at, say,  $30R_\odot$  in the WS and DCHB models is empirical (or "ad hoc"), and hence subject to optimization in the absence of any improvement in our understanding of the physical mechanisms involved, the prescriptions can be related to the aforementioned different ideas (BL and EF) on the origin of the solar wind. Thus, in principle, it may be possible to derive some physical insight from comparisons of the two approaches. In the case of the WS model, which relies on the expansion factor of the local flux tube to govern the resulting speed, density, and temperature of the escaping solar wind, detailed physics-based models have been developed to produce the correct plasma properties driven by waves and turbulence (Cranmer, 2010), as well as the unique compositional differences between slow and fast solar wind

(Laming, 2004). The DCHB model, on the other hand, which linked to the idea of a boundary layer for the origin of the slow solar wind (Fisk, 1996; Antiochos *et al.*, 2011) provides a natural explanation for the composition and charge state distributions in the slow solar wind, as well as speed, density, and temperature, at least in a qualitative sense. Thus, should the WS or DCHB models perform significantly better than the other, this would lend support for either the EF or BL model, respectively. Of course, it is important to stress this would remain a tentative inference since, by definition, empirical models have surrendered some degree of physical insight for the goal of gaining better matches with observations. Additionally, theories are subject to revision based on new constraints. Thus, any deficiency in a model may be used to further develop the theory behind it.

In their original paper, Wang and Sheeley (1990) determined a relationship between solar wind speed ( $V$ ) and expansion factor ( $f_s$ ) using very broad velocity bins of size  $\Delta v = 100 \text{ km s}^{-1}$  applied to solar wind data between  $450 \text{ km s}^{-1}$  and  $750 \text{ km s}^{-1}$  (a bin on either end collected all speeds outside this range). Here, following and generalizing Arge and Pizzo (2000) we write a continuous form of relationship between solar wind speed and expansion factor:

$$V_{\text{WS}}(f_s) = V_{\text{slow}} + \frac{V_{\text{fast}}}{(f_s)^\delta} \quad (2)$$

where  $v_{\text{slow}}$  is the lowest solar wind speed expected as  $f_s \rightarrow \infty$  and  $\alpha$  is some coefficient also to be determined, although Wang (2010, private communication) suggests that  $\delta = 1$  is justified. The specification of velocity then depends only on the expansion factor of the field line.

Using values from Wang and Sheeley (1990), we performed a least-squares fit to derive  $V_{\text{slow}} = 377.5 \text{ km s}^{-1}$  and  $V_{\text{fast}} = 1863 \text{ km s}^{-1}$ . Since this expression can potentially lead to speeds well beyond those that have been observed by Ulysses, we also impose a minimum and maximum speed of 350, and 800  $\text{km s}^{-1}$ . These values could also be considered free parameters. Although Arge (2004) derived set of best-fit parameters (which are sensitive to the solar observatory used to create the photospheric field map), the precise values of these parameters are not that important for the current study, and for simplicity, we retain the parameters originally derived in the Wang and Sheeley (1990) study.

The ‘‘Distance from the Coronal Hole Boundary’’ (DCHB) model depends on the angular, minimum (perpendicular) distance from the coronal hole boundary to specify solar wind speed. This is computed at the base of the corona and the speeds are mapped along field lines to the reference sphere,  $30R_\odot$ , in this case. We can express the relationship as:

$$V_{\text{DCHB}}(d) = V_{\text{slow}} + \frac{1}{2} (V_{\text{fast}} - V_{\text{slow}}) \left( 1 + \tanh \left( \frac{d - \alpha}{w} \right) \right) \quad (3)$$

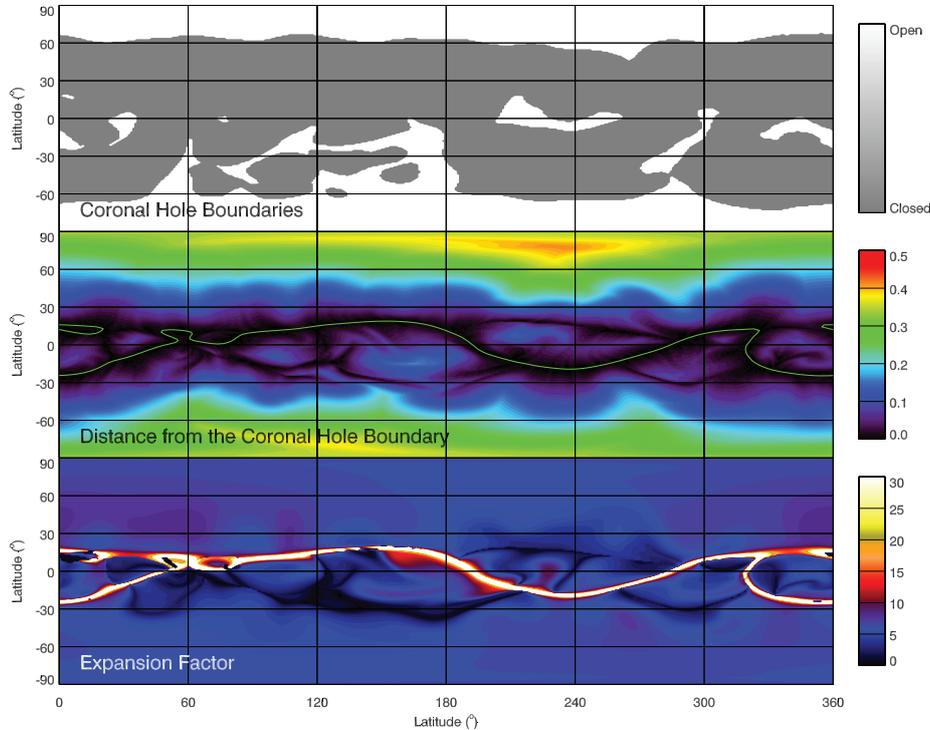
where  $d$  is the minimum, or perpendicular distance from an open-closed boundary, that is from a CH boundary, at the base of the corona,  $\alpha$  is a measure of how thick the slow flow band is, and  $w$  is the width over which the flow is raised

to coronal hole values (Riley, Linker, and Mikić, 2001). The parameters  $V_{\text{slow}}$  and  $V_{\text{fast}}$  are analogues (but, because of the difference in formulation, likely to be different) of the same-named parameters in the WS model. At the boundary between open-closed fields, this expression reduces to  $v_{\text{slow}}$ , whereas, far from such a boundary, that is, deep within a coronal hole, it reduces to  $v_{\text{fast}}$ . For the DCHB model, then, the specification of the velocity profile depends on the minimum distance of the field line foot-point to a coronal hole boundary.

Figure 4 shows the location of the coronal hole boundaries (at the base of the corona), the distance from the nearest coronal hole boundary ( $d$ ), and the expansion factor of coronal magnetic fields ( $f_s$ ), both at  $30R_{\odot}$ . Comparison of the computed coronal hole boundaries with the two lower panels shows how the topology of the magnetic field, as it winds through the corona, imports structure and complexity to both of these quantities. The imprints of equatorward extensions of the polar coronal holes, as well as isolated equatorial coronal holes can be recognized but their structure couldn't have been predicted without knowing the coronal magnetic field. Comparison of the second and third panels allows us to recognize the similarities and differences between the WS and DCHB models. In the middle panel, the location of the HCS is overlaid. Around this,  $d$  is low, and the  $f_s$  is high. From Equations (2) and (3) we can infer that both models would predict slow wind around the HCS. It is, however, the arcs branching off from the HCS, in particular, in the southern hemisphere in the longitude range  $75 - 210^{\circ}$ , and in the northern hemisphere in the range  $180 - 285^{\circ}$  that imply different predictions. At these locations,  $d$  is again low, suggesting slow solar wind, but  $f_s$  is extremely low suggesting very fast wind. In fact, on the basis of Equation (2) alone, speeds from these regions would far exceed the speed over within the polar coronal holes.

It is important to distinguish the DCHB model from an earlier prescription based on the minimum angular distance from the heliospheric current sheet (Hakamada and Akasofu, 1981). In the latter, the wind speed is assumed to be slow in a band within some angular minimum distance from the heliospheric current sheet, computed at some reference height (say  $2.5R_{\odot}$  for PFSS models or  $20 - 30R_{\odot}$  for MHD models) and fast everywhere else. On the other hand, the DCHB model specifies the slow wind along bands at the base of the corona, adjacent to the open-closed field line boundaries. This speed profile is then mapped along field lines to some reference height. Except for very idealized geometries, such as a tilted dipole field, these would be expected to yield quite different results. The DCHB model attempts to describe the wind profile near its source, whereas the technique based on distance from the HCS attempts to describe the profile at some point of relative equilibrium. Wang and Sheeley (1997) compared the WS and angular distance from the HCS models finding that the latter produced significantly poorer correlations with *in-situ* measurements at Earth.

Finally, it is important to distinguish between the WS and DCHB models and a third empirically-based model, which is, arguably, the most well known and implemented. The Wang-Sheeley-Arge (WSA) has been successively refined since its initial development in the late 1990's at NOAA's Space Weather Prediction Center (SWPC) and was recently a key component in the first research model



**Figure 4.** Computed coronal hole boundaries at  $1R_{\odot}$  (top), distance from the coronal hole boundary at  $30R_{\odot}$  (middle), and expansion factor at  $30R_{\odot}$  (bottom) for CR 2060.

transitioned to space weather operations (Farrell, 2011). Initially, it developed via a set of minor adjustments to the WS model, tuning the free parameters using more thorough comparisons with *in-situ* observations. More recently, the relationship between speed and  $f_s$  was generalized, and a term similar to that in the DCHB model was also added (Arge *et al.*, 2003). In fact, in its current form, the best-fit parameters for the WSA model render it virtually identical to the DCHB model. Ironically, we believe that the residual effects of the WS model in the WSA model serve only to reduce its ability to match solar wind streams (Riley *et al.*, 2011).

## 2. Unipolar Streamers in the Corona

We begin our analysis by summarizing the structure of the solar corona during the interval between 14 August 2007 and 10 September 2007, that is, CR 2060. We chose this time period for our case study for several reasons. First, it is one of the more quiescent rotations during the interval spanning the last solar minimum (marking the end of solar cycle 23), and there were no obvious signatures of transient activity during the interval. Second, well-developed unipolar streamers were observed (see Figure 1). And third, and most importantly, the

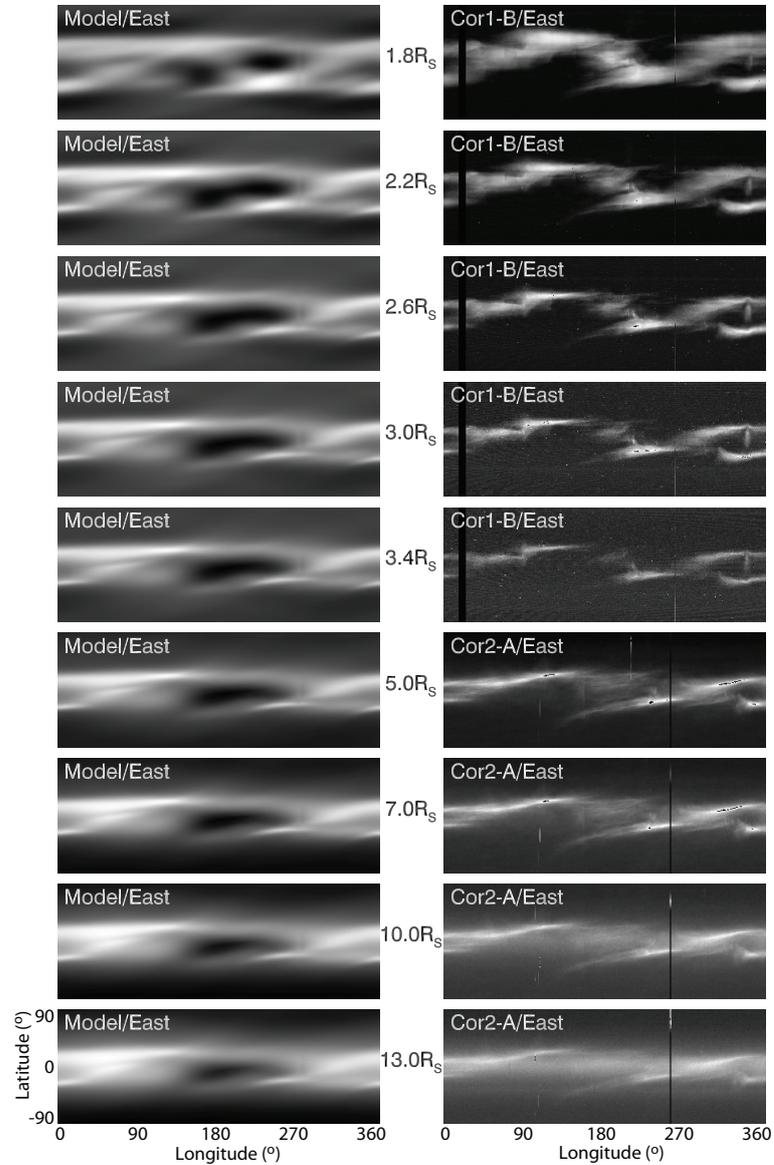
ACE spacecraft, located at  $7.1^\circ$  N latitude was positioned such that its trajectory took it directly through plasma emanating from one of the unipolar streamers.

To assess how well our MHD model has reproduced the large-scale streamer structure during CR 2060, in Figure 5 we compare our simulated polarized brightness estimates with brightness observations by the SECCHI instruments on board STEREO. The particular combination of STEREO A/B and COR1/2 images were selected from the full set available at <http://secchi.nrl.mil/synomaps> based on the quality of the images. At least for this rotation, we found that the combination of COR1 from STEREO B at lower altitudes and COR2 from STEREO A at higher altitudes resulted in the best set. Since the model used here relied on the polytropic approximation, we are limited to a qualitative assessment of model results. In spite of this, the comparison demonstrates that the model has captured the overall features of the streamer structure existing during CR 2060. In particular, we note the following: (1) There is a dominant streamer pattern tracing through all longitudes that first rises into the northern hemisphere, drops across the equator at  $\approx 180^\circ$  and finally returns to the northern hemisphere. As we will show later, this pattern tracks the location of the HCS as determined from the model.

### 3. Solar Wind Speed from Unipolar Streamers

The stream structure of the solar wind at 1 AU in the ecliptic plane (from ACE measurements) is shown in the bottom panel of Figure 6 (bottom panel). We have plotted both solar wind speed, color-coded according to the observed polarity of the interplanetary magnetic field, together with plasma density (green) as a function of Carrington longitude, ballistically-mapped back from 1 AU to the Sun. In this presentation of the data, time increases from right to left. Thus, a fast stream will evolve - as it moves away from the Sun - by steepening at its leading (right) edge and becoming shallower at its trailing (left) edge. The former lead to compression fronts, while the latter produce expansion waves, or rarefaction regions (Sarabhai, 1963). The density enhancement at  $\approx 255^\circ$  longitude (labeled 'B') likely marks the location of a SI, separating fast wind to its left from slow wind to its right. The pattern within the magnetic polarity of the flow is, to a first approximation, two-sector, with the first half of the interval being outward (red) magnetic field and the second half being inward (blue). It is, however, more complex, with "pockets" of opposite polarity embedded within the larger scale pattern.

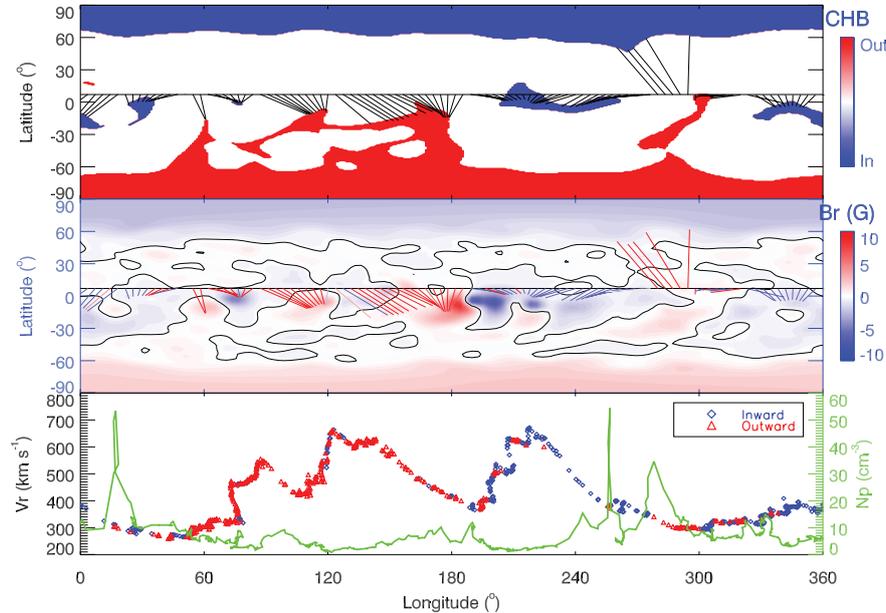
The middle and top panels of Figure 6 indicate where the ACE observations map back to on the surface of the Sun, using the MHD solution. To accomplish this, the trajectory was ballistically mapped back to  $30R_\odot$ , after which the MHD solution was then used to trace along field lines to their source at the base of the corona. In the middle panel, the red and blue lines show where points on the ACE trajectory map to at the base of the corona. The color-coding is based on the polarity of the interplanetary magnetic field, as measured by ACE. The color contours show the observed photospheric magnetic field, while the black contours mark the neutral line, that is, where  $B_r = 0$ . Finally, the ACE trajectory and



**Figure 5.** Comparison of model results with white light synoptic maps from COR1 and COR2 instruments on board STEREO A and B spacecraft. The images were assembled from east limb observations and have been arbitrarily scaled to bring out the structure contained within each.

mapping is overlaid on the computed coronal holes for this solution, color-coded by the direction of the photospheric field.

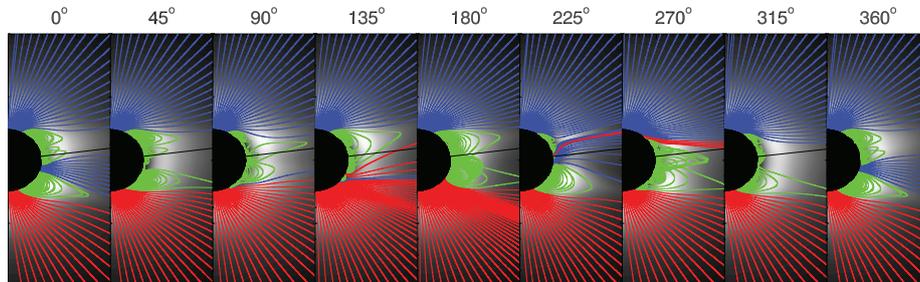
Comparing the mapped ACE polarities with the observed photospheric field (middle panel) suggests a reasonable match between the two. There are some obvious disagreements, such as the outward IMF mapping into the northern



**Figure 6.** (Top) Coronal holes for CR 2060 computed from the MHD solution and color-coded according to the observed underlying photospheric magnetic field. The trajectory of the ACE spacecraft is superimposed, together with the mapped source regions of the plasma measured at ACE. (Middle) Photospheric magnetic field (color contours), location of the neutral line (black line), and mapped source regions of ACE measurements color-coded according to the observed *in-situ* polarity of the magnetic field embedded in the plasma. (Bottom) Ballistically-mapped ACE speed (red/blue) and plasma density (green). The speed has been color-coded according to the measured polarity of the *in-situ* magnetic field.

polar coronal hole around longitudes  $280 - 300^\circ$ , but overall, the large-scale polarity appears to trace back correctly. Where the comparison is poor, it could suggest: (1) there are inaccuracies in the model solution - computed coronal holes are larger/smaller than in reality, for example; or (2) there were processes not incorporated in the model, such as long period Alfvén waves, turbulence, or transient activity.

Returning to the bottom panel of Figure 6, we can interpret the interval between the first-two streams ( $\approx 105^\circ$  longitude) as a non-HCS interaction region (Neugebauer *et al.*, 2004), whereas the second trough, at  $\approx 200^\circ$  longitude contains an embedded HCS. Moreover, the first two streams likely originate from the midlatitude extension of the southern polar coronal hole, whereas the boundary between the second and third streams separates distinct locations (the southern extension and an equatorial coronal hole at  $\approx 240^\circ$  longitude. Finally, if we assume that the boundary between  $360^\circ$  and  $0^\circ$  longitude is periodic, that is, that the large-scale structure from 2059 through 2061 did not change appreciably,



**Figure 7.** (Top) Selection of meridional slices of  $pB$  with field lines superimposed, equally spaced in longitude. Field lines colored blue (red) open into the heliosphere and are inward (outward), while field lines drawn in green are closed. The sold black line indicates the latitude of the ACE spacecraft during this interval.

then the slow and essentially mono-polarity wind from  $300^\circ$  through  $40^\circ$  contains plasma from two distinct equatorial coronal holes, both with negative polarity.

In Figure 7, we have computed polarized brightness ( $pB$ ) and overlaid field lines at a selection of Carrington longitudes. Field lines colored red/blue are open and directed outward/inward. Field lines that are colored green are closed, that is, they attach back to the Sun at both ends. Also shown is the latitude of the ACE spacecraft, which changed only modestly during the 25.38 days. These frames roughly match with the  $x$ -axis in Figure 6. We can identify two clear unipolar structures. First during the last  $30^\circ$  and first  $30^\circ$  of the rotation, a large unipolar streamer is present off the northwest limb. A much more compact unipolar streamer is also seen at  $\approx 225^\circ$ . Based on ACE’s latitude during this interval, we can infer that it missed the compact unipolar streamer but likely sampled and spent a significant amount of time within the major one. In fact, it is possible that the density enhancement labeled ‘A’ in Figure 6(bottom panel) is a direct measurement of the HPC associated with this unipolar streamer. In contrast, the density enhancement labeled ‘B’ is more likely a signature of the SI, separating the fast stream to the left from the slow stream to the right. Finally, event ‘C’ is probably a crossing of the HCS embedded within a HPS.

#### 4. Model Predictions of Solar Wind Speed from Unipolar Streamers

Armed with an understanding of the basic stream structure measured by ACE and its likely connectivity with structure back at the Sun, we turn our attention to the question of what the WS and DCHB models predict for the structure of the solar wind at Earth during this time period. Panels (a) and (b) in Figure 8 compare velocity maps at  $30R_\odot$  computed from the WS and DCHB prescriptions. Several points are readily apparent. First, both models match at latitudes away from the “band of solar wind variability,” that is, from deep within the polar coronal holes. Second, following the trace of the HCS (white line in (a) and black line in (b)), both models predict slow solar wind. However, the band over which this slow wind exists is extremely thin ( $\approx \pm 1^\circ$  for the WS model. In contrast, the HCS-associated band in the DCHB model is  $\approx \pm 10^\circ$ . Third, where

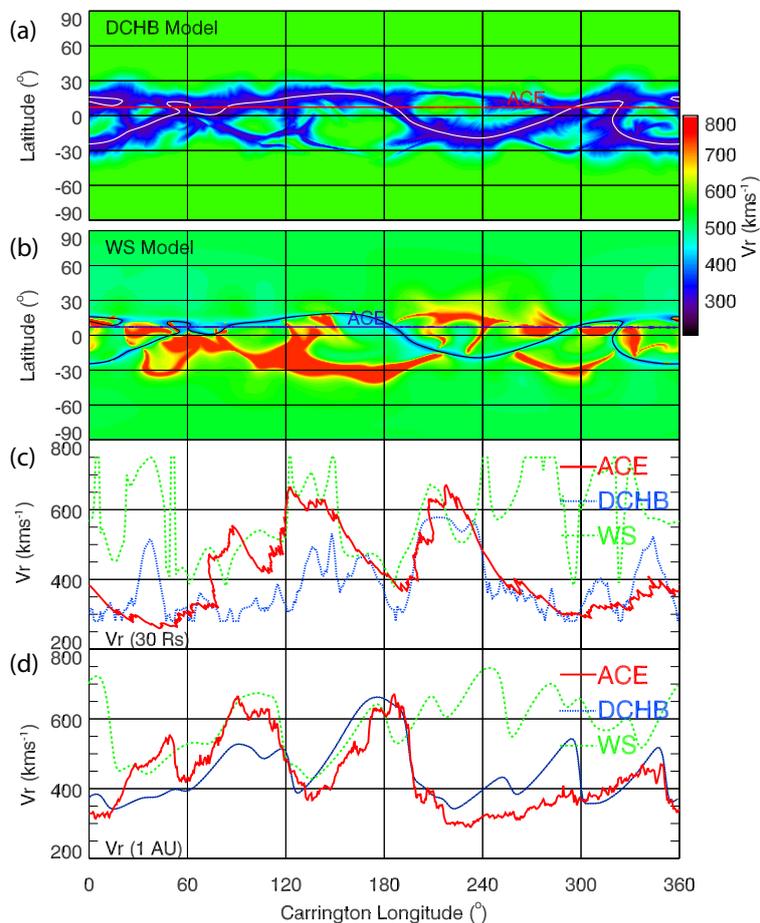
the two models differ most significantly is at the “conjugate” latitudinal point, that is, a trace in longitude that very roughly follows the negative value of the HCS. Of particular note is the spur branching off from the HCS at  $200^\circ$  longitude in the northern hemisphere and merging back into the vicinity of the HCS at  $\approx 300^\circ$ . Whereas in the DCHB model this is a slow flow region flanking faster flow from a coronal hole, in the WS model, the spur consists of wind traveling even faster than flow from deep within polar coronal holes. Importantly, and fortuitously, this spur is positioned such that ACE became immersed within it by  $\approx 240^\circ$ .

The ACE trajectory through these velocity profiles have been extracted and compared with ACE *in-situ* measurements in panels (c) and (d) of Figure 8. In panel (c), the ACE data has been ballistically-mapped back to  $30R_\odot$  to compare with the model results. In panel (d), the model results have been mapped from the Sun to 1 AU using the technique described by Riley *et al.* (2011).

Thus, the two comparisons in (c) and (d) are both limited in that there are assumptions in taking one dataset in or out to the location of the other. However, with this in mind, both views are also useful for interpreting the data, and the differences between the comparisons can be used to estimate the potential errors introduced by each mapping technique. Note further that the streams migrate to the left from  $30R_\odot$  to 1 AU, since we are using Carrington coordinates. Here, we focus on the high-speed stream centered at  $\approx 220^\circ$  in panel (c) or  $\approx 180^\circ$  in panel (d), and the remaining portion of the interval. While both the WS and DCHB models reproduce the basic structure of this stream (and the earlier one to the left), they differ significantly in their prediction of the wind following it. The WS model, as we have shown, predicts extremely fast wind from unipolar streamer regions, in which the spacecraft was immersed in during this interval. We have computed speed profiles using a range of free parameters in Equation (1), but the results remain unaltered: the original WS model predicts very fast wind from the slowly expanding field lines surrounding unipolar streamers (Wang, Sheeley, and Rich, 2007; Wang *et al.*, 2010). On the other hand, and by construction, the DCHB predicts slow (and dense) solar wind emanates from the boundary of any type of streamer structure. The origin of these flows can be traced back to the relevant parameters  $d$  and  $f_s$  in Figure 4. Comparison with ACE measurements suggest a prolonged interval of slow solar wind, consistent with the predictions of the DCHB model.

It is also worth noting that the high-speed stream that appears at  $200^\circ$  has very different origins in the DCHB and WS prescriptions. In the former, the spacecraft becomes immersed in an equatorial coronal hole for  $\approx 40^\circ$  (see Figure 6), whereas the high-speed wind in the WS profile is produced by the unipolar streamer.

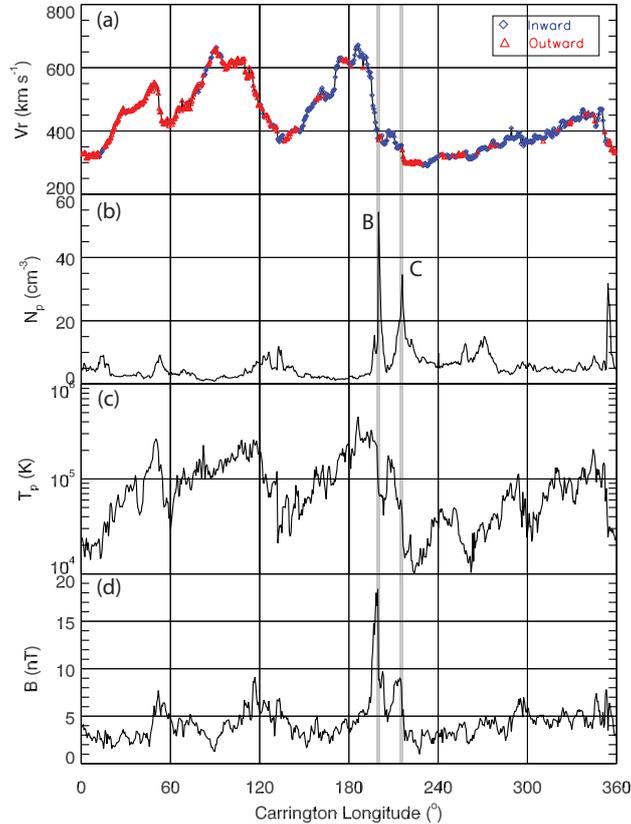
A final point worth noting from Figure 8 is the richness in the variability of the slow-flow band. Considering the smoothing and filtering of the input magnetogram, it is quite remarkable that such complexity is produced. Of course, both because the solar wind tends to dampen out higher-frequency perturbations preferentially, and because the numerics of the code tend to do the same (through numerical diffusion), much of this structure is lost by 1 AU. It would be interesting to assess whether some or all of this fine-scale structure is real. As models



**Figure 8.** (a) Solar wind speed map at  $30R_{\odot}$  produced by the DCHB model. Superimposed are: (1) The HCS (white curve); and (2) the trajectory of the ACE spacecraft (red). (b) Solar wind speed map at  $30R_{\odot}$  produced from the WS model. The HCS and ACE trajectory as also shown as black traces. (c) Solar wind speed at  $30R_{\odot}$  as determined from: (1) ballistically mapping ACE in-situ measurements back from 1 AU (red); (2) extracting from the DCHB model (blue); and (3) extracting from the WS model (green). (d) Solar wind speed at 1 AU. Both the DCHB and WS model results were ‘evolved’ using the technique described by Riley *et al.* (2011).

become ever more capable of simulating smaller-scale phenomena, the computed results should retain more and more of this texture.

Finally, in Figure 9 we summarize solar wind speed, number density, proton temperature, and magnetic field strength for Carrington rotation 2060. Unfortunately, composition and charge state data during this interval was not available through the level 2 data products at the Ace Science Center. Two of the density enhancements from Figure 8 are also identified. Enhancement B, which showed



**Figure 9.** (a) Solar wind speed; (b) number density; (c) proton temperature; and (d) magnetic field strength as a function of Carrington longitude (at 1 AU) for Carrington rotation 2060. Two density enhancements, labeled ‘B’ and ‘C,’ are discussed in more detail in the text.

a sharp rise of about one order of magnitude coincided with an abrupt drop in speed from the fast stream at  $\approx 180^\circ$  from the slower wind ahead. It is also coincident with a peak in magnetic field strength and a discontinuous drop in speed, signatures that are all consistent with an SI. The polarity of the field remains inward throughout the period surrounding enhancement B. In contrast, the density enhancement C is apparently associated with an albeit brief polarity change from inward to outward (with increasing longitude), but no significant change in speed. We suggest that this event is a crossing of the HPS and that there is a HCS embedded within it.

## 5. Summary and Discussion

In this study, we have used ACE *in-situ* measurements, in conjunction with a global MHD model of the solar wind to test a distinguishing prediction between

two empirically-based models, which in turn may reflect the basic features of two theories for the origin of the solar wind. Specifically, models relying on the expansion factor of the coronal field lines (EF models) predict that solar wind originating from unipolar (pseudo) streamers should be fast; perhaps even faster than wind originating deep within well-established, large polar coronal holes. In contrast, boundary layer models (such as the interchange reconnection model) predict that wind originating from the boundary between open and closed field lines should be slow, regardless of whether the underlying loop structure produces unipolar or dipolar streamers. Thus, we suggest that wind from unipolar streamers is slow, like wind from dipolar streamers. This is consistent with the basic premise of BL models, but in conflict with the EF model. Our analysis was possible because of a serendipitous confluence of three factors during CR 2060: (1) there was no obvious transient activity; (2) well-developed unipolar streamers were present; and (3) ACE was positioned in latitude such that it could sample unipolar streamer wind directly.

Our results are in apparent disagreement with several aspects of the studies by Wang and colleagues. Wang, Sheeley, and Rich (2007) identified outflowing material, which they associated with a unipolar streamer, that was traveling at  $\approx 200\text{km s}^{-1}$  at  $\approx 3R_{\odot}$ . By comparison, similar profiles from within dipolar streamers during the same interval showed speeds of  $\approx 100\text{km s}^{-1}$  at the same distance. However, this assumes that the outflows being measured are, in fact, fiduciarities of the ambient solar wind flow. Sheeley *et al.* (1997) has argued that these blobs are swept along “like leaves” by the ambient flow. Nevertheless, it is quite possible that they are propagating either faster or slower than the underlying quiescent flow.

Wang *et al.* (2010) identified what they claimed to be signatures of unipolar streamers in ACE *in-situ* measurements. One such case is event B in Figure 9. They argued that the high-density enhancement was a crossing of the HPS associated with the interplanetary extension of the unipolar streamer stalk. However, we have interpreted this, and other events identified by Wang *et al.* (2010) as SIs. Gosling *et al.* (1978) showed that SIs are interfaces that separate flow that was originally hot, tenuous, and fast with flow that was cooler, denser, and slower. Without exception, the events identified by Wang *et al.* (2010) occurred at the leading edges of high-speed streams, which would be expected to compress the plasma producing the density enhancement observed. Moreover, we believe the event labeled B in Figure 9, which occurred  $\approx 15^{\circ}$  further in longitude, or  $\approx 1.1$  days preceding the SI is the HPS, and, the change in polarity coincident with it suggests that a current sheet was also crossed.

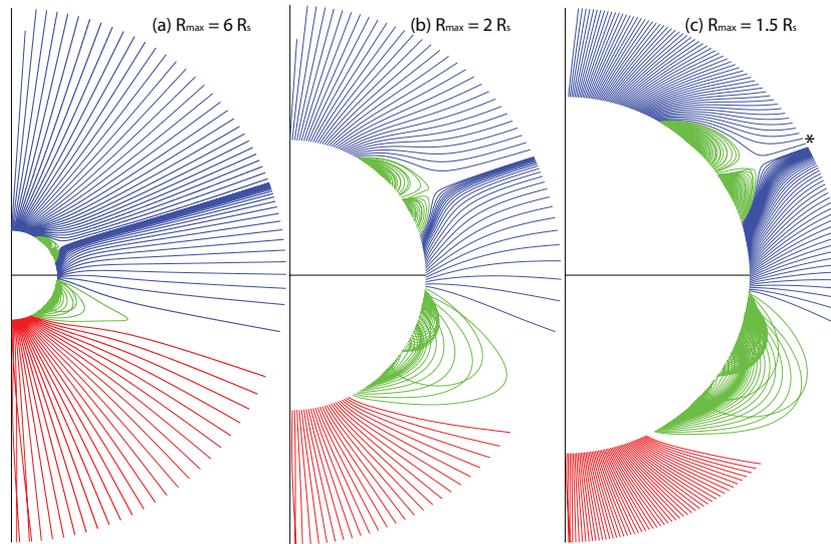
Our comparison between WS-derived speeds and 1 AU observations do not invalidate the results of Wang and colleagues (Wang and Sheeley, 1990; Wang, 1994; Wang *et al.*, 1997, 2010). Their comparisons are on such a large temporal scale (a single figure in their studies may include more than 30 years of data) that the patterns being matched represent only the grossest features of the system. Whether they predict the correct phase of even the existence of a specific high-speed stream within a single Carrington rotation cannot be determined. The WS model does predict the appearance of slow solar wind in the vicinity of the HCS, *i.e.*, associated with dipolar streamers, and thus, in the absence of

unipolar streamers, it should be able to track the basic features of the slow solar wind. It is only because of the appearance of unipolar streamers in the recent solar minimum that the WS model appears to fail. It is worth emphasizing that although the WS predicts fast solar wind in the vicinity of unipolar streamers, in disagreement with observations, the underlying PFSS model used to reconstruct the structure of the coronal magnetic field remains valid. For many applications, the PFSS technique is a useful and accurate tool (Riley *et al.*, 2006).

Although our results suggest that the WS and, in principle, the EF model, are in conflict with the observations of unipolar streamer structure in the solar wind, the relationship between the magnetic structure of the corona and expansion factor is more complex than has generally been appreciated (Figure 3). In Figure 10, we show three progressively more resolved views of the same meridional slice as in Figure 3. Although expansion factor typically increases with increasing height along a particular field line, such that  $f_s$  computed between the base ( $r = 1R_\odot$ ) and some height sufficiently far from the Sun that the magnetic field lines are all radial (*e.g.*,  $6R_\odot$ ) is a maximum value, for unipolar streamers this may not be the case. In particular, following the two field lines just poleward of the unipolar streamer stalk in panel (c) (marked with the asterisk),  $f_s(r)$  first increases until  $\approx 1.25R_\odot$  and subsequently decreases. Thus, computing  $f_s$  at some fixed height well above the base of the corona fails to capture this variation, and it is possible that theoretical models relying on large values of  $f_s$  to produce slow wind could be saved if this local structure is capable of modulating solar wind speed (Y.-M. Wang, private communication, 2011). Alternatively, issues with the empirically based WS model near unipolar streamers may be averted if the maximum value of  $f_s$  computed along each field line is used to compute solar wind speed, rather than its value relatively high in the corona. However, this remains to be investigated.

In closing, it is worth noting that our ability to differentiate between the two empirical models (WS and DCHB, and, by inference, the EF and BL theories) is based on the presence of unipolar streamer structure was facilitated by the unique properties of the current minimum. Our conclusions are based on a careful analysis of a single Carrington rotation. To substantiate them now requires a systematic statistical analysis of coronal stream structure and *in-situ* measurements both during the recent minimum and contrasting it with structure from the earlier one (September, 1996). Ultimately, these comparisons should lead to better-constrained empirical models of the ambient solar wind structure in the vicinity of Earth, and, hopefully, provide key constraints for theories of the origin of the slow solar wind.

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**Figure 10.** A selection of magnetic field lines for CR 2060 at a longitude of  $215^\circ$  are shown at successively smaller scales: (a)  $R_{\max} = 6R_\odot$ ; (b)  $R_{\max} = 2R_\odot$ ; and (c)  $R_{\max} = 1.5R_\odot$ . For (a) and (b), 185 field lines equally separated in latitude were drawn. For (c), 370 field lines were drawn. The apparently intersecting field lines is a projection effect due to field lines having different ending longitudes.

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